

Resolution as Intersection Subtyping via Modus Ponens

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Resolution and subtyping are two common mechanisms in programming languages. Resolution is used by features such as type classes or Scala-style implicits to synthesize values automatically from contextual type information. Subtyping is commonly used to automatically convert the type of a value into another compatible type. So far the two mechanisms have been considered independently of each other. This paper shows that, with a small extension, subtyping with intersection types can subsume resolution. This has three main consequences. Firstly, resolution does not need to be implemented as a separate mechanism. Secondly, the interaction between resolution and subtyping becomes apparent. Finally, the integration of resolution into subtyping enables *first-class (implicit) environments*. The extension that recovers the power of resolution via subtyping is the *modus ponens* rule of propositional logic. While it is easily added to declarative subtyping, significant care needs to be taken to retain desirable properties, such as *transitivity* and *decidability* of algorithmic subtyping, and *coherence*. To materialize these ideas we develop λ_i^{MP} , a calculus that extends a previous calculus with disjoint intersection types, and develop its metatheory in the Coq theorem prover.

CCS Concepts: • **Software and its engineering** → **Functional languages; Object oriented languages; Semantics.**

Additional Key Words and Phrases: resolution, nested composition, family polymorphism, intersection types, coherence, modus ponens

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1 INTRODUCTION

Subtyping is a well-known programming language feature. It is most notably employed in object-oriented languages, but there are many other uses of subtyping in the literature [Barendregt et al. 1983; Chen 2003; Gay and Hole 2005; Pierce and Sangiorgi 1996]. Subtyping automatically converts the type of a value into another compatible type. One particular form of subtyping arises from *intersection types* [Barendregt et al. 1983; Reynolds 1991]. Intersection types support—among others—a form of *finitary overloading* [Castagna et al. 1992]. For example, in various systems with intersection types, it is possible to build overloaded functions such as:

$$f : (\text{Int} \rightarrow \text{Int}) \ \& \ (\text{Char} \rightarrow \text{Char}) = \text{succ} \ , \ , \ \text{toUpper}$$

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The *merge operator* (`(, ,)`) allows the function `f` to behave as both the `succ` and the `toUpper` functions. Thus, `f` can be applied both to an integer and to a character. When applied to an integer, `f` behaves like the successor function, whereas when applied to a character `f` capitalizes its input. The automatic selection of the appropriate behavior (from the overloaded definition `f`) is based on the type of the input, which triggers an upcast induced by the subtyping relation. For instance, in the case of the application `f 3`, the input of the function must be `Int` and therefore, the following upcast is triggered in such an application:

```
(Int -> Int) & (Char -> Char) <: Int -> Int
```

Resolution is another programming language mechanism. Haskell type classes [Wadler and Blott 1989] employ resolution to automatically *search* for and *compose* instances of type classes. Resolution is also used by Scala's implicits [Oliveira et al. 2010]. Many other programming languages have mechanisms similar to type classes and implicits [Devriese and Piessens 2011; Sozeau and Oury 2008a; White et al. 2015], and consequently employ a form of resolution. Type classes and implicits can also be used to define overloaded functions. For example, in Haskell, we may define:

```
class Over a where f :: a -> a
instance Over Int where f = succ
instance Over Char where f = toUpper
```

This achieves an overloaded behavior similar to the intersection types version of `f`. Indeed, the Haskell version of `f` can also be applied both to an integer and to a character with similar results. However, in Haskell, the selection of the right function in the application `f 3` uses resolution. In Haskell (and languages with implicits) there is an implicit environment that stores information about type class instances. When class methods are used, resolution searches the implicit environment to find the right instance or to combine existing instances to find a suitable method implementation.

The function `f` illustrates the similarities and overlapping use cases for subtyping and resolution. However there are also various use cases that are not in common. On the one hand, with subtyping we can, for instance, have `Int -> Int <: Int -> Top`. Such a conversion is typically not allowed by resolution mechanisms documented in the literature [Hall et al. 1996; Odersky et al. 2017; Oliveira et al. 2012; Schrijvers et al. 2019; Wadler and Blott 1989]. On the other hand, a key feature of resolution is its ability to compose instances (or implicits). For instance, suppose that we want an overloaded version of `f` for lists of integers. With type classes we can define the instance:

```
instance Over Int => Over [Int] where
  f []      = []
  f (x : xs) = f x : f xs
```

Note that here the context `Over Int` is not necessary in Haskell, but for illustration purposes, we add the redundant context. With this instance, if we call `f [1, 2, 3]`, then the result is `[2, 3, 4]`. For this to work, resolution needs to find an instance for `Over [Int]`. While no instance directly matches the required type, resolution composes the instance for lists with the instance for integers to build an instance of the appropriate type. The ability to perform such compositions is lacking in traditional mechanisms with subtyping and intersection types.

This paper shows that, with a small extension, subtyping with intersection types can in fact subsume forms of resolution. The extension that is necessary to recover the power of resolution via subtyping is essentially the logical rule for *modus ponens*:

$$\frac{A \vdash B \rightarrow C \quad A \vdash B}{A \vdash C} \text{MODUS PONENS}$$

Modus ponens states that if from `A` one can conclude both `B → C` and `B` then one can also conclude `C`. The key idea in this paper is that we can adapt modus ponens to subtyping, and thus enable its

power (and the essential power of resolution). To accomplish this, we simply reinterpret entailment ($A \vdash B$) as subtyping ($A <: B$). Modus ponens captures the essence of composition that is necessary to enable the following derivation:

$$(\text{Int} \rightarrow \text{Int}) \ \& \ ((\text{Int} \rightarrow \text{Int}) \rightarrow [\text{Int}] \rightarrow [\text{Int}]) \ <: \ [\text{Int}] \rightarrow [\text{Int}]$$

which could be used in a language with intersection types and subtyping, to find an overloaded implementation of f in a call such as $f \ [1, 2, 3]$ (just like the version with type classes).

While modus ponens is easy to add in a declarative version of subtyping, significant care needs to be taken to retain desirable properties, such as transitivity and decidability of subtyping. Our work builds and extends upon the NeColus calculus [Bi et al. 2018]—or λ_i^+ —which has both transitivity and decidability of subtyping, as well as coherence of the elaboration semantics. However, NeColus does not support modus ponens. Extending the proofs of transitivity, decidability and coherence of NeColus to support modus ponens is highly non-trivial. The key difficulty is that modus ponens makes the context in which a type appears relevant for resolution (which is not the case in NeColus).

Adding modus ponens to subtyping, and viewing resolution as a special case of subtyping, comes with important advantages. Firstly, resolution does not need to be implemented as a completely separate mechanism. This can make implementations as well as metatheory more compact, because the two mechanisms are generalized into a single relation. Secondly, the interaction between resolution and subtyping becomes apparent, which contrasts with the current state-of-the-art where it is ignored.¹ Indeed, various foundational calculi related to Scala formalize either subtyping [Amin et al. 2016, 2012] or implicits [Odersky et al. 2017; Oliveira et al. 2012; Schrijvers et al. 2019], but usually not both. The only exception is the recent work by Jeffery [2019], which studies a calculus with both features (but where resolution and subtyping are modeled independently). Finally, the integration of resolution into subtyping enables *first-class (implicit) environments*. That is, we can create (implicit) environments (encoded as intersection types), use them as arguments, or return them from functions. This is impossible in existing approaches for type classes and calculi with implicits, as there is no explicit notion of a type for environments.

To materialize these ideas we develop λ_i^{MP} : a calculus that extends the NeColus calculus (a calculus with disjoint intersection types [Oliveira et al. 2016]) and develop its metatheory in the Coq theorem prover. In summary, the contributions of this work are:

- **Resolution as subtyping:** We extend subtyping of intersection types with *modus ponens*. This enables composing components from intersections to solve subtyping problems, and (together with other standard intersection rules) subsumes forms of resolution.
- **The λ_i^{MP} calculus:** We develop a concrete calculus that illustrates the idea of subtyping as resolution. The calculus is an extension of NeColus [Bi et al. 2018]. We prove several basic properties, including type-safety, which is proved via an elaboration into the target language λ_c^{MP} , a simply typed lambda calculus extended with coercions.
- **Algorithmic subtyping for λ_i^{MP} :** We incorporate modus ponens in the NeColus subtyping algorithm by (1) restructuring it in two mutually recursive judgments—one of which builds coercions by accumulating them in a continuation-passing form—and (2) adding a loop detector for termination. Our Coq proofs show that our algorithm subsumes that of NeColus, and is *sound, complete* and *terminating*; this establishes that the subtyping of λ_i^{MP} is decidable. The proofs of termination and transitivity, which is used to show completeness, are both highly non-trivial. The former uses different arguments for three different kinds of loops, including reasoning about the effectiveness of loop detection. The latter consists of 7 mutually

¹For example, GHC issue ticket 17295 is a closely related problem, caused by an unexpected interaction between resolution and overlapping “intersections”.

recursive lemmas with complex interdependencies. To establish the well-foundness of their proof we employ the AProVE tool [Giesl et al. 2017].

- **Conditional Coherence for λ_i^{MP} :** Establishing coherence of λ_i^{MP} is also a challenging technical goal. The addition of modus ponens makes it a lot harder due to the ability to compose information. We formulate a non-trivial extension of the canonicity relation of NeColus, based on which we build a mechanized partial coherence proof in the Coq theorem prover.

The supplementary material contains a Coq mechanization of the definitions (4k lines) and theorems (5.6k lines) in this paper, as well as a prototype implementation in Haskell (~820 lines). It is available online at <https://zenodo.org/record/4043646#.X4gBAHVfhjs>.

2 BACKGROUND

This section introduces background on resolution, coercive subtyping, intersection types and their use in programming languages.

2.1 Coercive Subtyping, Resolution and their Computational Interpretation

This paper brings together two different programming language features: (implicit) *resolution* as used in Haskell type classes and Scala implicits on the one hand, and *type-directed coercive subtyping* on the other hand. While these two features are very different on the surface, they are in fact both based on similar computational interpretations of logical inference. The different rules of logical inference they use are compatible. In this paper we show how to extend this compatibility to the language design level and how to ensure properties that, while typically of no concern for logical inference, are key for programming languages, like coherence and decidable type checking.

In the sequent notation, the judgment $A \vdash B$ asserts that proposition B can be inferred from proposition A . An inference rule provides a way to construct a valid (or true) judgment. For instance, the inference rule

$$\frac{}{A \vdash A}$$

states that the judgment $A \vdash A$ (from A follows A) is valid for any proposition A .

The $A \vdash B$ judgment can be given a computational interpretation following the “propositions as types” approach [Wadler 2015]. This means that we take the types of a programming language as the possible propositions. The judgment $A \vdash B$ then means that there exists a computable function that turns values of type A into values of type B . We call this function the (computational) evidence for the judgment. The evidence for a judgment follows from the inference rules that have been used to establish that judgment. For instance, the evidence for the trivial $A \vdash A$ judgment above is the identity function $\lambda x.x$. A more advanced inference rule is that for transitivity

$$\frac{A \vdash B \quad B \vdash C}{A \vdash C}$$

which allows to conclude C from A provided that B can be concluded from A and C from B . Given evidence f for $B \vdash C$ and evidence g for $A \vdash B$, the evidence for $A \vdash C$ is $\lambda x.g(f x)$.

Coercive Subtyping. A first programming language application of this mechanism is coercive subtyping [Luo 1999]. In this case we write $A <: B$ instead of $A \vdash B$ and call A and B subtype and supertype respectively, rather than premise and conclusion. Also we call the associated evidence of the judgment a coercion. Unlike other forms of subtyping, coercive subtyping changes the runtime representation of a value of type A when upcasting to type B . This change is accomplished by the coercion. Even though the coercion is executed at runtime, it is already statically known at what point in the program it needs to be performed, namely at the point in the static type system

```

object Test {
  class P                                // proposition P
  class Q                                // proposition Q
  class U                                // proposition U

  implicit def f(implicit o : P) : Q = new Q() // P -> Q
  implicit def g(implicit o : Q) : U = new U() // Q -> U
  implicit val p : P = new P()             // P

  val u : U = implicitly[U]                // triggers resolution from
                                           // the implicit environment
}

```

Fig. 1. Encoding of the judgment $P \rightarrow Q, Q \rightarrow U, P \vdash U$ as a Scala program.

where an appeal is made to subtyping. In order to introduce the coercion, coercive subtyping can therefore make use of a static program transformation approach called (type-directed) elaboration.

Elaboration uses the information of static typing to transform a program with implicit appeals to subtyping into a program with explicit applications of coercions. For example, consider a function f that expects a value of type B and a value v of type A where $A <: B$. Hence, in the function call $f v$ there is a type mismatch that the type system bridges by appealing to subtyping. Elaboration then transforms this function call into $f(c v)$ where c is the coercion for $A <: B$. The explicit coercion application replaces the implicit appeal to subtyping.

Resolution. A rather different application of logic inference in programming languages are implicits in Scala. In their basic form, they involve an environment of premises that the programmer can populate and extend with particular types and values of those types as evidence. Functions can take implicit parameters which the type system fills in by searching values of the appropriate type in the implicit environment. Elaboration turns those implicit parameters into explicit ones. This behavior is extended with the modus ponens inference rule, to make it more powerful.

The computational evidence associated to this rule, shown in Section 1, is $\lambda x.(f x)(g x)$ where f is the evidence for $A \vdash B \rightarrow C$ and g for $A \vdash B$. The modus ponens rule can be applied recursively, e.g. to establish B from A by modus ponens via $D \rightarrow B$ and D , and we call the general reasoning principle *recursive resolution*.

Resolution in Scala. As a concrete example of resolution in programming languages, consider the judgment

$$P \rightarrow Q, Q \rightarrow U, P \vdash U$$

In this statement, P implies Q , Q implies U and P holds as a fact. Thus, we can conclude that U holds by straightforward logical reasoning.

Figure 1 illustrates how to encode the judgment in Scala. In this Scala program, we encode propositions as classes, and we define a few implicit rules that are added to the "implicit environment" of Scala. The definition of f encodes $P \rightarrow Q$, the definition of g encodes $Q \rightarrow U$ and the definition of p encodes that P holds. In the definition of the value u , we trigger resolution (via the `implicitly` keyword), which essentially searches the implicit environment and attempts to compose the rules and facts in the implicit environment, to find a value of type U . This value will be given eventually by the synthesized expression $g(f(p))$.

Essentially, in logic, resolution can be seen as a mechanism for proof search. In programming languages, resolution is a mechanism that searches for values of a given type by using the rules and facts of the implicit environment. It can be viewed as a type-directed form of program synthesis.

The connection to our work is that we interpret the resolution relation as subtyping, and the conjunction of propositions in the environment as intersections. Thus, the above judgment corresponds to:

$$(P \rightarrow Q) \& (Q \rightarrow U) \& P <: U$$

using subtyping and intersection types.

Haskell type classes also employ resolution, but there are several superficial differences to Scala implicits. The name spaces of implicit values—called type classes—is separate from that of other types and has global scope. Moreover type classes are used as a mechanism for overloading function names. Yet, in essence, just like Scala implicits, type classes are based on the modus ponens inference rule for constructing wanted evidence for types in terms of given evidence of other types. We will look at type classes in more detail in Section 3.

2.2 Different Interpretations for Intersection Types in the Literature

There are several different interpretations of intersection types in the literature. Intersection types date back to work from Coppo et al. [1981] and Pottinger [1980] and were first used to characterize the strongly normalizable terms of the λ -calculus.

Following Forsythe [Reynolds 1988], intersection types were used in various other programming languages, including CDuce [Benzaken et al. 2003] and Object Oriented languages like TypeScript², Flow [Raskin 1974], Ceylon³ and Scala [Odersky 2010], enabling multiple inheritance [Pierce 1991]. They were also studied as part of the syntax of several calculi, to enable various forms of polymorphism such as finitary function overloading [Castagna et al. 1992], bounded polymorphism [Pierce 1997] and evaluation-order polymorphism [Dunfield 2015]. Refinement types is yet another feature where intersection types has been studied [Davies and Pfenning 2000; Dunfield 2007; Freeman and Pfenning 1991]. However, in those systems the type $\text{Int} \& \text{Bool}$ is invalid, since Int and Bool are not refinements of each other.

Note that intersection types alone only increase the expressive power of types. While languages with intersection types do not necessarily have a merge operator, the latter adds expressive power to the terms. This term construct has been used in various, but usually limited, forms like in Forsythe or in the work of Castagna et al. [1992], discussed in Section 7.

The use of intersection types in this paper follows the interpretation of Dunfield [2012] who has proposed a particular flavor of intersection types with an unrestricted merge operator, allowing the construction of values that inhabit intersection types such as $\text{Int} \& \text{Bool}$. In her work, Dunfield uses coercive subtyping, based on the inference rules for the logical conjunction operator. In particular, conjunction elimination is expressed in two rules:

$$\frac{}{A \& B \vdash A} \qquad \frac{}{A \& B \vdash B}$$

Here, we write $A \& B$, instead of $A \wedge B$, to denote an intersection type. The runtime representation of values of type $A \& B$ is a tuple (v, w) where v has type A and w has type B . The coercions associated with the two inference rules are respectively π_1 and π_2 , the projection on respectively the first and second component of the tuple. Dunfield observes that her formulation of the calculus does not eliminate ambiguous expressions. However, recent work by Oliveira et al. [2016] remedies this issue by introducing a notion of disjoint intersection types.

²<https://www.typescriptlang.org/>

³<https://ceylon-lang.org/>

Our proposed design fits well with this interpretation, i.e. intersection types as a mechanism to implicitly provide coercions on pairs. Our source language provides a layer of convenience on top of an ordinary target language with pairs, by generating various types of coercions involving pairs. In particular, the modus ponens rule is just one of the possible coercions involving pairs.

3 OVERVIEW

This section gives an overview of our work. We are mainly interested in the *type-based overloading* and *resolution* capability at the core of type classes or implicits. In what follows, we use Haskell type classes for illustration, but we could have used Scala implicits (or other similar mechanisms) just as well. We start by establishing the relationship between type-based overloading based on type classes and/or implicits and disjoint intersection types. Then we explain the key idea of our work to add modus ponens to the subtyping relation for a calculus with (disjoint) intersection types to recover resolution. Finally, we discuss several technical challenges that need to be addressed for this addition to work.

3.1 Type-based Overloading with Type Classes

Type classes in Haskell were initially meant to provide a less ad-hoc mechanism to overloading. In Section 1 we have already seen a simple example of an overloaded function f defined via a type class. Overloading with type classes is based on *parametric polymorphism* [Reynolds 1974]. All type classes require at least one type argument. This type argument is used to specify the places in the signatures of overloaded methods where different types can occur. For the type class `Over` the method $f :: a \rightarrow a$ can be overloaded both on the input type and the output type and those two types need to be the same for a particular overloading.

Conventionally, type class instances cannot overlap. That is, two instances for a type class must use distinct types. This property is satisfied by the three instances in Section 1:

```
instance Over Int where ...
instance Over Char where ...
instance Over Int => Over [Int] where ...
```

Adding a fourth instance for a type that is distinct from those in the previous instances (i.e. `Int`, or `Char` or `[Int]`) is allowed. For example, the following `Bool` instance is allowed:

```
instance Over Bool where f = not
```

However adding another instance for integers is not allowed:

```
instance Over Int where f x = x + 2 -- rejected!
```

The compiler complains that there is already another instance in scope for `Over Int`.

Overlapping instances and ambiguity. The restriction of non-overlapping instances is put into place to ensure that there is no ambiguity in a program. If the second instance for integers were accepted then the definition:

```
p = f 1          -- ambiguous: could be 2 or 3
```

would be ambiguous as it could choose either of the two integer instances.

3.2 The Merge Operator and Disjoint Intersection Types

The merge operator, originally proposed by Reynolds [1988], adds expressive power to intersection types. In most calculi with intersection types, a type such as `Int&Char` is not inhabited, since these two types are *disjoint* (i.e. have no common values), so their intersection is empty. With the merge operator, however, it is possible to build values that inhabit such an intersection type. For example:

$v : \text{Int} \ \& \ \text{Char} = 1 \ , \ , \ 'c'$

In the definition of v , the merge operator $(, ,)$, is used to build a value of type $\text{Int}\&\text{Char}$ from an integer and a character. In calculi with a merge operator, intersection types are similar to conventional pair types. The merge operator is used to build values of the intersection types, similarly to how we build values for pairs. The main difference to pairs and pair types is that while for pairs the eliminations (i.e. projections such as fst and snd) are explicit, for intersection types they are implicit and driven by the type system.

Disjointness and ambiguity. The merge operator is powerful, but without any restrictions it is easy to have ambiguous programs. For instance, consider the following value:

$n : \text{Int} \ \& \ \text{Int} = 1 \ , \ , \ 2$

If we use n in an expression such as $n + 1$ there could be two possible results (2 and 3) depending on what integer gets selected from the merge.

Disjoint intersection types [Oliveira et al. 2016] were proposed to solve ambiguity problems in calculi with intersection types and a merge operator. The key idea is to introduce a restriction on merges: a valid merge can only be built from two values with disjoint types. Two types are disjoint if the only supertypes that they have in common are top-like types [Oliveira et al. 2016]. This restriction prevents the merged value n from type-checking, while accepting v . Furthermore, for previous calculi with disjoint intersection types it has been proven that the disjointness restriction is sufficient to guarantee *coherence* [Reynolds 1991], a correctness property that rules out any ambiguity. This property is important for calculi with non-deterministic semantics, where well-formed expressions can take on multiple meanings, and ensures that these meanings are equivalent, in the sense that the program which the initial expression models will always behave the same.

3.3 Disjoint Merges, Non-Overlapping Instances and Resolution

Disjointness checks for merges and non-overlap checks for type class instances are closely related. The non-overlap check for type classes can be viewed as a simple form of disjointness checking. If we ignore polymorphic types, then the non-overlap check is simply testing whether two types are distinct⁴, which is subsumed by a disjointness check for merges.

Type class instances as merges. The fact that disjointness checking relates/subsumes to non-overlapping checking is crucial for expressing code analogous to type class instances as merges. Consider a variant of f in Section 1:

$f : (\text{Int} \rightarrow \text{Int}) \ \& \ (\text{Char} \rightarrow \text{Char}) \ \& \ ((\text{Int} \rightarrow \text{Int}) \rightarrow [\text{Int}] \rightarrow [\text{Int}])$
 $= \text{succ} \ , \ , \ \text{toUpper} \ , \ , \ \text{listInstance}$

where listInstance is analogous to the type class instance $\text{Over} \ [\text{Int}]$ from Section 1, with the type $((\text{Int} \rightarrow \text{Int}) \rightarrow [\text{Int}] \rightarrow [\text{Int}])$. Here, the merge creates an overloaded function and checks that the three implementations have disjoint types. This is similar to checking whether $\text{Over} \ \text{Int}$, $\text{Over} \ \text{Char}$ and $\text{Over} \ [\text{Int}]$ overlap. Therefore, we can essentially express type class instances through merges, whose components define the methods of the instances for overloading.

Resolution via Modus Ponens. While we can express some type class instances with disjoint intersection types, an important “class” of instances cannot be expressed because previous work on disjoint intersection types lacks resolution. That is, in previous work a program such as:

$p : [\text{Int}] = f \ [1, 2, 3]$

⁴With polymorphism we also need to account for instantiation. For example the type $[\text{Int}]$ is not equal to $[a]$, but it does instantiate $[a]$. So two instances $C \ [a]$ and $C \ [\text{Int}]$ (for some type class C) overlap.

would not type check because $[Int] \rightarrow [Int]$, which is the type that f needs to have, *is not* a supertype of the type of f . However the addition of modus ponens changes this and $[Int] \rightarrow [Int]$ becomes a supertype of the type of f , thus enabling the above use of f .

3.4 First-Class Environments

Viewing instances/implicit as merges opens up the possibility of new and interesting features for programming with implicit values and resolution. In particular, unlike type class instances (which are second class), merges are *first-class*. In other words, we can pass merged values as arguments or return them as results. This is not possible with type class instances. An instance declaration is top-level, but we cannot create instances on the fly.⁵

To illustrate the use of first-class environments, consider a program that processes data from a sensor measuring temperatures. The temperatures are collected at fixed time intervals, and the sensor may fail to read temperatures (for example due to temperatures being too high, low or to some unknown error). The sensor data is collected in a text file using a sequence of numbers or strings (for errors) separated by commas. For instance:

```
32,-9,"LOW",10,"ERROR"
```

With Haskell type classes one may be tempted to use the `Read` type class:

```
class Read a where
  read :: String -> a
```

to process the `String` using the existing instances of the `Read` class:

```
instance Read Int where ...
instance Read String where ...
instance Read a => Read [a] where ...
instance (Read a, Read b) => Read (Either a b) where ...
```

The programmer's hope here would be that we could interpret the type of sensor values as $[Either\ String\ Int]$, and then simply employ resolution to compose the above instances:

```
read "32,-9,\"LOW\",10,\"ERROR\"" :: [Either String Int]
```

However this does not work because the input string is not in the correct format to be parsed by the `Read` instances. In Haskell the `Read` instances for types such as `Either a b` and `[a]` use the Haskell syntax⁶. The `read` method provided by `Read [Either String Int]` would require the string:

```
"[Right 32, Right -9, Left \"LOW\", Right 10, Left \"ERROR\"]"
```

Because Haskell does not allow more than one instance of a type class for the same type, it is not possible to use alternative instances for `Either a b` and `[a]`. There are various workarounds for this issue, but none of these is ideal.

A solution using first-class environments. An alternative approach to solve this problem is to use merges to encode first-class environments for instances of `Read`. We present such a solution using the λ_i^{MP} calculus (assuming a few convenience source language features). For the purposes of illustration and readability we assume the following definition of `Read`:

```
type Read a = String -> a
```

and we also assume built-in types for lists and sum types (i.e. modeling, respectively, Haskell's `List a` and `Either a b`). Given those, we can model four Haskell instances for `Read`:

⁵Though recent work by [Winant and Devriese \[2018\]](#) aims to rectify this.

⁶The Haskell format requires that strings to be read as `Either a b` values start with a `Left` or `Right` substring. For list values, the string should start and end with opening and closing brackets.

```

readInt : Read Int = ...
readString : Read String = ...
readEitherSI : Read String -> Read Int -> Read (Either String Int) = ...
readSensor : Read (Either String Int) -> Read [Either String Int] = ...

```

Here, `readInt` and `readString` behave just like the corresponding Haskell instances, while `readEitherSI` and `readSensor` are custom instances that read values in the sensor format. With intersection types and the merge operator we can define both the type of environments for instances that read sensor data, and the environment that collects the above instances:

```

type SensorEnv = Read Int & Read String
                & (Read String -> Read Int -> Read (Either String Int))
                & (Read (Either String Int) -> Read [(Either String Int)])

```

```
env : SensorEnv = readInt ,, readString ,, readEitherSI ,, readSensor
```

Finally, the `parseSensor` function parametrizes over a suitable sensor environment:

```
parseSensor (read : SensorEnv) (s : String) : [Either String Int] = read s
```

Calling `parseSensor` with `env` can parse strings in the expected format for sensor data. Moreover, an additional advantage of the `parseSensor` function is that it is *decoupled* from concrete implementations of `Read`. For instance, if later we want to express sensor data using Haskell's own format for `Read` instances, we just have to provide an alternative environment:

```
haskEnv : SensorEnv = readInt ,, readString ,, readEither ,, readList
```

where `readEither` and `readList` behave just like the Haskell instances for `Read (Either a b)` and `Read [a]`. By calling `parseSensor` with `haskEnv` as its first argument, the system will infer an instance of the same type, but taken from the newly provided environment encoding, `haskEnv`.

Without the modus ponens rule in subtyping, the same functionality would require a more verbose program, where both the provided environment and the parser function should be parametrized over the alternative instances for `Either String Int` and `[Either String Int]`, and where application of instances should be explicit:

```

type PSensor = (Read Int -> Read String -> Read (Either String Int)))
               -> (Read (Either String Int) -> Read [Either String Int])
               -> Read Int & Read String
               & Read (Either String Int) & Read [Either String Int]

```

```

env' (rEitherSI : Read Int -> Read String -> Read (Either String Int))
     (rList      : Read (Either String Int) -> Read [Either String Int])
  : Read Int & Read String & Read (Either String Int) & Read [Either String Int]
  = readInt ,, readString ,, (rEitherSI readInt readString)
    ,, (rList (rEitherSI readInt readString))

```

```

parseSensor' (rEither : Read Int -> Read String -> Read (Either String Int ))
             (rList    : Read (Either String Int) -> Read [Either String Int])
             (readArg  : PSensor) (s : String)
  : [Either String Int]
  = readArg rEither rList s

```

In summary, modus ponens captures the essence of resolution and brings convenience to programmers by automatically composing instances. This is also the reason why resolution is heavily used by Haskell and Scala programmers. In addition, the integration of modus ponens into a system

with intersection subtyping adds expressive power that is not available in Haskell or Scala. In contrast to Haskell, implicit environments are first-class. With respect to Scala, viewing resolution as subtyping makes obvious how the two features interact, which we discuss in more detail next.

3.5 Interaction Between Subtyping and Resolution

In languages like Scala, which supports both subtyping and resolution, we expect that the two mechanisms interact. For example, here is a simple Scala program that requires both mechanisms:

```
class A {}
class B extends A {}

implicit val v : B = new B() {}; // adds v to the implicit environment
val u : A = implicitly // resolves to v
```

This program defines two classes A and B. The value `v` is marked with an `implicit` keyword, which has the effect of adding `v` to the implicit environment, so that it can be used by resolution later. In the definition of `u`, `implicitly` triggers resolution to search for a value of type A. The search succeeds and resolves to `v` which has type B, a subtype of A. Clearly this only works if resolution accounts for subtyping.

The Scala compiler accepts this program and its implementation of resolution does indeed account for subtyping. However there is very little work formalizing the (non-trivial) interaction between resolution and subtyping. Most foundational calculi for Scala formalize subtyping, but not resolution [Amin et al. 2016, 2012]. Similarly, works that formalize resolution typically do not account for subtyping [Odersky et al. 2017; Oliveira et al. 2012; Schrijvers et al. 2019].

One advantage of making resolution a part of subtyping is that the interaction between the two mechanisms then becomes trivial. For instance, the following program:

```
a : Int = 1
b : Int & Char = a, 'c'
u (env : Int&Char) : Int = env
```

is roughly analogous to the previous Scala program, except that we use a first-class environment (the argument `env` of function `u`) instead of an implicit environment. In this case resolution triggers a search for an integer in the body of `u`, which is successful because `Int&Char <: Int`. Other more complex interactions between subtyping and resolution, such as in:

```
(Int -> Top -> Bool) & Int <: String -> Bool
```

work as well. In this case the two types in the intersection need to be composed with `modus ponens` to obtain `Top -> Bool` and `Top -> Bool <: String -> Bool` via subtyping.

3.6 Technical challenges

To formalize our integration of resolution and subtyping, we create the λ_i^{MP} calculus by extending the NeColus calculus with the modus ponens rule. While the extension is seemingly a small change, it has far-reaching consequences with respect to the metatheory. Conceptually, this is due to the fact that modus ponens invalidates much of the reasoning about types in the NeColus metatheory. In NeColus it is possible to consider the syntactic components of types in isolation. This is no longer possible in λ_i^{MP} because one component may interact with another through modus ponens.

This affects coherence: the NeColus disjointness constraint is insufficient for λ_i^{MP} and needs to be tightened. Also the coherence proof requires a considerably more complex canonicity relation that features a form of context dependency to capture the possible interaction between type components.

Types	$A, B, C ::= \text{Nat} \mid \top \mid A \rightarrow B \mid A \& B$
Terms	$E ::= x \mid \top \mid i \mid \lambda x. E \mid E_1 E_2 \mid E_1 , , E_2 \mid E : A$
Typing Contexts	$\Gamma ::= \bullet \mid \Gamma, x : A$

Fig. 2. λ_i^{MP} Syntax

$\Gamma \vdash E \Rightarrow A \rightsquigarrow e$	Type Synthesis	
$\frac{\vdash \Gamma}{\Gamma \vdash \top \Rightarrow \top \rightsquigarrow \langle \rangle} \text{T-TOP}$	$\frac{\vdash \Gamma}{\Gamma \vdash i \Rightarrow \text{Nat} \rightsquigarrow i} \text{T-NAT}$	$\frac{x : A \in \Gamma \quad \vdash \Gamma}{\Gamma \vdash x \Rightarrow A \rightsquigarrow x} \text{T-VAR}$
$\frac{\Gamma \vdash E_1 \Rightarrow A_1 \rightarrow A_2 \rightsquigarrow e_1 \quad \Gamma \vdash E_2 \Leftarrow A_1 \rightsquigarrow e_2}{\Gamma \vdash E_1 E_2 \Rightarrow A_2 \rightsquigarrow e_1 e_2} \text{T-APP}$	$\frac{\Gamma \vdash E \Leftarrow A \rightsquigarrow e}{\Gamma \vdash E : A \Rightarrow A \rightsquigarrow e} \text{T-ANNO}$	
$\frac{\Gamma \vdash E_1 \Rightarrow A_1 \rightsquigarrow e_1 \quad \Gamma \vdash E_2 \Rightarrow A_2 \rightsquigarrow e_2 \quad \vdash_d A_1 \& A_2}{\Gamma \vdash E_1 , , E_2 \Rightarrow A_1 \& A_2 \rightsquigarrow \langle e_1, e_2 \rangle} \text{T-MERGE}$		
$\Gamma \vdash E \Leftarrow A \rightsquigarrow e$	Type Checking	
$\frac{\Gamma, x : A \vdash E \Leftarrow B \rightsquigarrow e}{\Gamma \vdash \lambda x. E \Leftarrow A \rightarrow B \rightsquigarrow \lambda x : A . e} \text{T-ABS}$	$\frac{\Gamma \vdash E \Rightarrow B \rightsquigarrow e \quad B <: A \rightsquigarrow c}{\Gamma \vdash E \Leftarrow A \rightsquigarrow c e} \text{T-SUB}$	

Fig. 3. Bidirectional Typing

Algorithmic subtyping is also affected by this. The algorithm no longer terminates naturally and requires a loop detector. This makes the termination proof a lot harder. Moreover, the size-based induction used to prove transitivity for the algorithmic subtyping of NeColus breaks. Instead, our transitivity proof involves 7 mutually recursive lemmas and relies on the AProVE automatic termination checker to establish their well-foundedness.

The following sections set up λ_i^{MP} and explain these aspects in detail.

4 THE λ_i^{MP} CALCULUS

Syntax. Figure 2 shows the syntax of λ_i^{MP} . It is the same as that of NeColus, but—for the sake of conciseness—without records. Types A, B, C include naturals Nat , the top type \top , function types $A \rightarrow B$ and intersection types $A \& B$. Terms E include variables x , natural numbers i , the top value \top , function abstractions $\lambda x. E$, function applications $E_1 E_2$, merges $E_1 , , E_2$ and (type-)annotated terms $E : A$.

Bidirectional Typing. The type system of λ_i^{MP} , shown in Figure 3, is bidirectional and thus has two modes: the *synthesis* mode (\Rightarrow) and the *checking* mode (\Leftarrow). The synthesis judgment $\Gamma \vdash E \Rightarrow A \rightsquigarrow e$ means that we can synthesize type A from expression E in context Γ , while the checking judgment $\Gamma \vdash E \Leftarrow A \rightsquigarrow e$ checks whether E has given type A . As usual, type annotations switch from synthesis to checking mode (rule T-ANNO). We reserve further discussion over the parts highlighted in gray for Section 4.2.

The rules are identical to those of NeColus with one notable difference. Rule T-MERGE not only requires the types A_1 and A_2 of the two subterms to be disjoint, $A_1 * A_2$, but also requires them to be *internally* disjoint, $\vdash_d A_1$ and $\vdash_d A_2$, all summarised in the internal disjointness premise $\vdash_d A_1 \& A_2$ of the rule. The two disjointness conditions are discussed in Section 4.4.

$$\boxed{A <: B \rightsquigarrow c} \quad \text{Subtyping}$$

$$\begin{array}{c}
\text{S-REFL} \\
\frac{}{A <: A \rightsquigarrow \text{id}_{|A|}}
\end{array}
\quad
\begin{array}{c}
\text{S-TRANS} \\
\frac{A_1 <: A_2 \rightsquigarrow c_1 \quad A_2 <: A_3 \rightsquigarrow c_2}{A_1 <: A_3 \rightsquigarrow c_2 \circ c_1}
\end{array}
\quad
\begin{array}{c}
\text{S-TOP} \\
\frac{}{A <: \top \rightsquigarrow \text{top}_{|A|}}
\end{array}$$

$$\begin{array}{c}
\text{S-ARR} \\
\frac{B_1 <: A_1 \rightsquigarrow c_1 \quad A_2 <: B_2 \rightsquigarrow c_2}{A_1 \rightarrow A_2 <: B_1 \rightarrow B_2 \rightsquigarrow c_1 \rightarrow c_2}
\end{array}
\quad
\begin{array}{c}
\text{S-TOPARR} \\
\frac{}{\top <: \top \rightarrow \top \rightsquigarrow \text{top}_{\rightarrow}}
\end{array}$$

$$\begin{array}{c}
\text{S-AND} \\
\frac{A <: B_1 \rightsquigarrow c_1 \quad A <: B_2 \rightsquigarrow c_2}{A <: B_1 \& B_2 \rightsquigarrow \langle c_1, c_2 \rangle}
\end{array}
\quad
\begin{array}{c}
\text{S-ANDL} \\
\frac{}{A \& B <: A \rightsquigarrow \pi_1^{|A|,|B|}}
\end{array}
\quad
\begin{array}{c}
\text{S-ANDR} \\
\frac{}{A \& B <: B \rightsquigarrow \pi_2^{|A|,|B|}}
\end{array}$$

$$\begin{array}{c}
\text{S-DISTARR} \\
\frac{}{(A \rightarrow B_1) \& (A \rightarrow B_2) <: A \rightarrow B_1 \& B_2 \rightsquigarrow \text{dist}_{\rightarrow}^{|A|,|B_1|,|B_2|}}
\end{array}$$

$$\begin{array}{c}
\text{S-MP} \\
\frac{A <: B_1 \rightsquigarrow c_1 \quad A <: B_1 \rightarrow B_2 \rightsquigarrow c_2}{A <: B_2 \rightsquigarrow c_2 \ominus c_1}
\end{array}$$

Fig. 4. Declarative Specification of Subtyping

The judgment $\vdash \Gamma$ used in the premise of rules T-TOP, T-NAT and T-VAR expresses that the typing context Γ is well-formed, i.e. it holds no duplicate variables. Its definition can be found in Appendix B.

4.1 Declarative Subtyping

Figure 4 declaratively specifies the subtyping relation of λ_i^{MP} . The judgment $A <: B \rightsquigarrow c$ states that A is a subtype of B and produces coercion c . Intuitively, c captures the way to convert values of type A into values of type B .

BCD-Style Subtyping. All but the last subtyping rule are the same as those of NeColus and originate from the BCD type system [Barendregt et al. 1983]. Rules S-REFL, S-TRANS and S-TOP ensure that subtyping is a partial order with \top as greatest element. Rule S-ARR is the standard subtyping rule for function types, while rules S-ANDL, S-ANDR and S-AND are standard for intersection types. Rules S-DISTARR and S-TOPARR are standard BCD subtyping rules; the former enables *nested composition* [Bi et al. 2018], while the latter provides a more unified treatment of top-like types in the meta-theory of the language: without that rule, we cannot have $A \rightarrow B <: \top \rightarrow \top$, if A is not top-like itself.

Modus Ponens. Our calculus extends the subtyping relation of NeColus with one new rule, S-MP, which corresponds to the Modus Ponens inference rule of propositional logic. It states that if A is a subtype of both $B_1 \rightarrow B_2$ and of B_1 , then it is also a subtype of B_2 . While this extension of subtyping is conceptually small, it has far-reaching consequences for the meta-theory of the language.

4.2 Elaboration Semantics

Following NeColus we assign a semantics to λ_i^{MP} by means of an elaboration. The target of the elaboration is λ_c^{MP} , which is the simply typed lambda-calculus extended with products and coercions. Pairs are the elaboration target of merges (rule T-MERGE), while coercions explicitly witness the implicit use of subtyping in λ_i^{MP} (rule T-SUB).

Types	$\tau ::= \text{Nat} \mid \langle \rangle \mid \tau_1 \rightarrow \tau_2 \mid \tau_1 \times \tau_2$
Terms	$e ::= x \mid \langle \rangle \mid i \mid \lambda x : \tau. e \mid e_1 e_2 \mid \langle e_1, e_2 \rangle \mid c e$
Coercions	$c ::= \text{id}_\tau \mid c_1 \circ c_2 \mid \text{top}_\tau \mid c_1 \rightarrow c_2 \mid \pi_1^{\tau_1, \tau_2} \mid \pi_2^{\tau_1, \tau_2} \mid \langle c_1, c_2 \rangle \mid \text{top}_{\rightarrow} \mid \text{dist}_{\rightarrow}^{\tau_1, \tau_2, \tau_3} \mid c_1 \ominus c_2$
Typing contexts	$\Delta ::= \bullet \mid \Delta, x : \tau$
Values	$v ::= \langle \rangle \mid i \mid \lambda x : \tau. e \mid \langle v_1, v_2 \rangle \mid (c_1 \rightarrow c_2) v \mid \text{top}_{\rightarrow} v \mid (\text{dist}_{\rightarrow}^{\tau_1, \tau_2, \tau_3}) v$

Fig. 5. λ_c^{MP} Syntax

$c \vdash \tau_1 \triangleright \tau_2$	Coercion Typing	<div style="border: 1px solid black; padding: 2px; display: inline-block;">$e_1 \longrightarrow e_2$</div> Small-Step Operational Semantics
$\frac{c_1 \vdash \tau_1 \triangleright \tau_2 \quad c_2 \vdash \tau_1 \triangleright (\tau_2 \rightarrow \tau_3)}{c_2 \ominus c_1 \vdash \tau_1 \triangleright \tau_3} \text{CT-MP}$	$\frac{}{(c_2 \ominus c_1) v \longrightarrow (c_2 v) (c_1 v)} \text{STEP-MP}$	

Fig. 6. λ_c^{MP} Coercion Typing and Operational Semantics (new rules)

The λ_c^{MP} Calculus. Figure 5 shows the syntax of λ_c^{MP} . This syntax is nearly identical to that of NeColus’ target language. There are only two differences, both situated in the coercions c . These coercions express the conversion of a term from one type to another. The reason why we use a separate syntactic sort of coercions for this—rather than encoding the conversions as regular function terms—is that it facilitates the coherence proof. Each coercion form corresponds to a particular subtyping rule (Figure 4). The first and main difference to NeColus’ target calculus is that, because we have a new modus ponens subtyping rule in λ_i^{MP} , there is also a new corresponding coercion form $c_1 \ominus c_2$ in λ_c^{MP} to witness it. The second and minor difference is that we have annotated several existing coercion forms with type information in order to facilitate type checking.

Figure 6 lists the new inference rules in the type system and small-step operational semantics of λ_c^{MP} to support the new coercion form. The full definitions of these relations can be found in Appendix A. The coercion typing rule CT-MP essentially replicates the subtyping rule S-MP of Figure 4, but now with λ_c^{MP} types. The new small-step rule, STEP-MP, splits up an application of a modus ponens coercion $c_2 \ominus c_1$ to value v into separate coercion applications $c_2 v$ and $c_1 v$ where the former should yield a function that is applied to the latter.

How the features of λ_i^{MP} are mapped to λ_c^{MP} is given in the grey parts of Figures 4 and 3. In those figures the meta-function $|\cdot|$ transforms λ_i^{MP} types to λ_c^{MP} types, and extends naturally to typing contexts. Its definition is in Appendix B.

4.3 Target and Elaboration Metatheory

We have formally investigated the metatheory of our calculus, λ_i^{MP} , and of its target language, λ_c^{MP} , and mechanised it in Coq.

Target Language. Firstly, we have proven that the key metatheoretical properties of λ_c^{MP} are not invalidated by the new coercion form. Indeed, λ_c^{MP} is type safe:

THEOREM 4.1 (PRESERVATION). *If $\bullet \vdash e : \tau$ and $e \longrightarrow e'$, then $\bullet \vdash e' : \tau$.*

THEOREM 4.2 (PROGRESS). *If $\bullet \vdash e : \tau$, then either e is a value or there is an e' such that $e \longrightarrow e'$.*

Moreover, every well-typed λ_c^{MP} term is normalising.

THEOREM 4.3 (NORMALISATION). *If $\bullet \vdash e : \tau$ then there exists a value v such that $e \longrightarrow^* v$.*

Here \longrightarrow^* , is the reflexive transitive closure of \longrightarrow .

$$\begin{array}{c}
\boxed{A * B} \quad \text{Disjointness} \\
\frac{}{\top * A} \text{D-TOPL} \quad \frac{}{A * \top} \text{D-TOPR} \quad \frac{A_1 * B \quad A_2 * B}{A_1 \& A_2 * B} \text{D-ANDL} \quad \frac{A * B_1 \quad A * B_2}{A * B_1 \& B_2} \text{D-ANDR} \\
\frac{A_2 * B}{(A_1 \rightarrow A_2) * B} \text{D-MPL} \quad \frac{A * B_2}{A * (B_1 \rightarrow B_2)} \text{D-MPR} \\
\boxed{\vdash_d A} \quad \text{Internal Disjointness} \\
\frac{}{\vdash_d \text{Nat}} \text{ID-NAT} \quad \frac{}{\vdash_d \top} \text{ID-TOP} \quad \frac{\vdash_d B}{\vdash_d A \rightarrow B} \text{ID-ARR} \quad \frac{A * B \quad \vdash_d A \quad \vdash_d B}{\vdash_d A \& B} \text{ID-AND}
\end{array}$$

Fig. 7. Disjointness conditions

Elaboration. Also, the elaboration of λ_i^{MP} to λ_c^{MP} preserves well-typing.

THEOREM 4.4 (COERCIONS PRESERVE TYPES). *If $A <: B \rightsquigarrow c$, then $c \vdash |A| \triangleright |B|$.*

THEOREM 4.5 (ELABORATION PRESERVES TYPES).

- If $\Gamma \vdash E \Rightarrow A \rightsquigarrow e$, then $|\Gamma| \vdash e : |A|$.
- If $\Gamma \vdash E \Leftarrow A \rightsquigarrow e$, then $|\Gamma| \vdash e : |A|$.

The meta-function $|\Gamma|$ translates a source typing context Γ to a target one. The full definition can be found in Appendix B.

4.4 Disjointness Conditions

Figure 7 shows the rules for disjointness (top) and internal disjointness (bottom).

Disjointness. The purpose of the disjointness condition is to avoid ambiguous expressions like 1, , 2. Judgment $A * B$ conservatively captures the notion that the common supertypes of A and B are all top-like. The first 4 rules of Figure 7, for the top type and intersection types, are the same as those of NeColus. We turn our focus to the new rules D-MPL and D-MPR, added to accommodate the new modus ponens subtyping rule. While they can be combined to recover NeColus' D-ARR rule⁷,

$$\frac{B_1 * B_2}{(A_1 \rightarrow B_1) * (A_2 \rightarrow B_2)} \text{D-ARR}$$

they cannot be used to recover the NeColus axioms $\text{Nat} * (A \rightarrow B)$ and $(A \rightarrow B) * \text{Nat}$. On the contrary, λ_i^{MP} does not consider the types Nat and $\text{Bool} \rightarrow \text{Nat}$ to be disjoint. For the sake of conciseness and compositionality, the disjointness definition is conservative. Indeed, merging any expressions of those two types does not lead to ambiguity. Yet, if we merge two expressions whose types contain the above two types, ambiguity can in fact arise. Consider the example $((\text{true}, (\lambda x. 1) : \text{Bool} \rightarrow \text{Nat}), , 2) : \text{Nat}$. The elaborated form of the merge expression on the left of the outer type annotation is the tuple $\langle\langle \text{true}, \lambda x : \text{Bool}. 1 \rangle, 2\rangle$. The outer type annotation will trigger the subtyping mechanism, first via rule T-ANNO and then through rule T-SUB, in order to produce a value of type Nat . This example is ambiguous, because it can produce—through subtyping—two different values of this type: 2 by projecting on the right component of the outer tuple, and 1 by projecting on the left component of the outer tuple and using modus ponens to apply $(\lambda x : \text{Bool}. 1)$ to true . The respective subtyping derivations and the coercions they generate are shown in Figure 8. For similar reasons, expressions $(\lambda x. 1) : \text{Bool} \rightarrow \text{Nat}, , (2, , \text{true})$ and

⁷This rule states that two arrow types are disjoint if their codomains are disjoint.

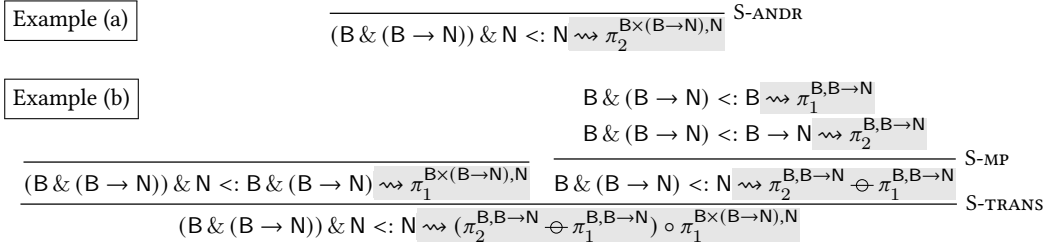


Fig. 8. Example subtyping derivations. Types Bool and Nat are abbreviated here as B and N, respectively.

$(2, (\lambda x. 1) : \text{Bool} \rightarrow \text{Nat})$, true are also ambiguous. In all three cases, the component types of a merge will not be disjoint.

The two new rules D-MPL and D-MPR bring the notion of disjointness between two types closer to the notion of no-overlaps between two (or more) instances. Types B and $A \rightarrow B$ are not disjoint, similarly to how two Haskell instances $C \text{ Int}$ and $D \text{ Int} \Rightarrow C \text{ Int}$ overlap.

Harmless overlaps and (non-)ambiguity. Note that not all forms of overlap introduce semantic ambiguity. In particular, we identify two such *harmless* cases. Firstly, in the presence of an overlap, it can still be the case that there is only one way to resolve a value of a given (overlapping) type. For example, in the term $2, (\lambda x. 1) : \text{Bool} \rightarrow \text{Nat}$ of type $\text{Nat} \& (\text{Bool} \rightarrow \text{Nat})$, the types Nat and $\text{Bool} \rightarrow \text{Nat}$ overlap, but there is only one applicable way to resolve a value of type Nat , because there is no Bool value to feed to the function $(\lambda x. 1) : \text{Bool} \rightarrow \text{Nat}$. Secondly, expressions of an overlapping type are harmless when all possible resolution paths for a wanted type result in the same inferred value. For example, the term $(1, 1)$, while containing an overlap, is unambiguous.

Because of the disjointness condition imposed over merges, the source calculus conservatively rejects expressions that fall under either of the two harmless forms of overlap, when they are explicitly written by the programmer. However, they are still possible implicitly, through a type annotation, which invokes subtyping. For example, we can encode the rejected term $(1, 1)$ as $1 : \text{Nat} \& \text{Nat}$, which is accepted and semantically same. Its full typing derivation is in Appendix E.

Internal Disjointness. The internal disjointness predicate, $\vdash_d A$, requires that the two components of any intersection type that appear in A are disjoint. Intuitively, $\vdash_d A$ ensures that if $A <: B$, then B can only contain harmless overlaps.

The internal disjointness condition is imposed on the subterms of a merge in rule T-MERGE. Again this is a conservative measure to avoid ambiguity, this time of a more convoluted nature that involves nested merges interleaved with subtyping. Here is a minimal ambiguous example to illustrate the problem:

$$((\text{true}, b2n) : \text{Nat} \& (\text{Bool} \rightarrow \text{Nat}), \text{false}) : \text{Nat}$$

This example features $b2n$, which we assume to be a built-in function of type $\text{Bool} \rightarrow \text{Nat}$ that maps true to 1 and false to 0. The inner merge has type $\text{Bool} \& (\text{Bool} \rightarrow \text{Nat})$, but is converted to type $\text{Nat} \& (\text{Bool} \rightarrow \text{Nat})$ by means of the type annotation, which triggers the subtyping mechanism. The latter produces a coercion that is then applied on (the elaborated form of) the subterm $\text{true}, b2n$. The corresponding value is $1, b2n$, which could not be written explicitly because Nat and $\text{Bool} \rightarrow \text{Nat}$ are not considered disjoint. Yet, because this value is not explicitly written by the programmer, but implicitly produced through subtyping, we know that the overlap is harmless. Indeed, while the expression $1, b2n$ potentially produces two different values of type Nat , one of those requires a Bool , which is not available at this point.

However, the outer merge with false provides the missing Bool value, making the outer Nat type annotation ambiguously yield both 0 and 1 as possible results. The disjointness check rejects none of the two merges in the example. Here, the internal disjointness constraint comes in. It catches the ambiguity problem at the outer merge where it identifies 1, b2n as unfit to merge with.

Internal disjointness inherits the conservative behavior of the (binary) disjointness condition, as witnessed in rule ID-ARR. This rule regards the case of a function type $A \rightarrow B$ that potentially is a supertype of some internally disjoint type, say A' (formally, $A' <: A \rightarrow B$). If A' is not a subtype of A (thus, $A' <: A$ does not hold), then rule S-MP does not apply. In fact, it becomes impossible to implicitly produce B from A' and, therefore, unnecessary to demand internal disjointness of B .

The indifference of rule ID-ARR around domains of arrow types is justified by the intuition that if a supertype of an internally disjoint type contains overlaps, then those are harmless. A function of type $A \rightarrow B$ is unambiguous if it outputs unambiguous values of type B , given unambiguous input values of type A . This implies the requirement that A is also internally disjoint. However, at this point, only values produced implicitly from A' are considered, and these can only contain harmless ambiguity. Indeed, explicit values can be added only through the merge operator, thus falling under rule ID-AND. Thus, unambiguity is ensured for A .

5 ALGORITHMIC SUBTYPING

In this section, we present an algorithm that decides the subtyping relation of Figure 4.

In the declarative specification of the subtyping relation (Figure 4), rules S-TRANS and S-MP hinder the decidability of subtyping: they both require guessing an appropriate intermediate type (A_2 in the former, and B_1 in the latter). We address this by employing a technique originating from proof search known as *focusing* [Andreoli 1992]. The general strategy for checking a constraint of the form $A <: B$ is to structurally analyze (focus on) B , and when it is stripped down to a base type do the same for A . This task is performed by two mutually-recursive judgments, the first focusing on the *right* type B , and the second focusing on the *left* type A . This technique has already been employed by Bi et al. [2018]—and, before that, by Pierce [1989]—though with a single all-in-one judgment. Our algorithm separates the two phases for clarity (and ease of reasoning) and also deals with the additional complexity introduced by rule S-MP. Unfortunately, the support for modus ponens greatly complicates the algorithm’s proofs of transitivity and termination. For the former, we had to come up with an approach external to Coq, involving the AProVE termination checker [Giesl et al. 2017], to establish well-foundedness. In the remainder of the section we describe the subtyping algorithm in detail (Section 5.1), as well as its most important meta-theoretical properties (Section 5.2). We follow the earlier convention to highlight the parts of the rules that concern coercion generation.

5.1 The Subtyping Algorithm

In Figure 9, we present the algorithmic subtyping judgment $A <: B \rightsquigarrow c$; the algorithmic counterpart of the declarative judgment $A <: B \rightsquigarrow c$ of Section 4.1. The main judgment is defined in terms of two auxiliary, mutually-recursive judgments $\mathcal{L} \vdash_R A <: B \rightsquigarrow c$ and $\mathcal{L}; \mathcal{M}; A_0; X \vdash_L A <: B \rightsquigarrow X'$, each focusing on the right or the left component of a type inequality, respectively. We explain the former in Section 5.1.1 and the latter in Section 5.1.2.

Preliminaries. Meta-variables \mathcal{L} and \mathcal{M} stand for queues of types. The algorithm uses them to capture the left-hand sides of arrow types. Operation $\mathcal{L} \rightarrow B$ converts a queue \mathcal{L} back into a type, given the right-hand side type B . It is inductively defined as follows:

$$[] \rightarrow B = B \quad (A, \mathcal{L}) \rightarrow B = A \rightarrow (\mathcal{L} \rightarrow B)$$

Meta-variable X denotes *algorithmic contexts*. An algorithmic context is merely the Cayley representation of a coercion: a function from coercions to coercions. Given a coercion c , we can convert

$A <: B \rightsquigarrow c$

Algorithmic Subtyping

$$\frac{[] \vdash_R A <: B \rightsquigarrow c}{A <: B \rightsquigarrow c} \text{A-MAIN}$$

$\mathcal{L} \vdash_R A <: B \rightsquigarrow c$

Right Focusing

$$\frac{\mathcal{L} \vdash_R A <: B_1 \rightsquigarrow c_1 \quad \mathcal{L} \vdash_R A <: B_2 \rightsquigarrow c_2}{\mathcal{L} \vdash_R A <: B_1 \& B_2 \rightsquigarrow [\mathcal{L}]_{\&}^{B_1, B_2} \circ \langle c_1, c_2 \rangle} \text{AR-AND} \qquad \frac{\mathcal{L}, B_1 \vdash_R A <: B_2 \rightsquigarrow c}{\mathcal{L} \vdash_R A <: B_1 \rightarrow B_2 \rightsquigarrow c} \text{AR-ARR}$$

$$\frac{}{\mathcal{L} \vdash_R A <: \top \rightsquigarrow [\mathcal{L}]_{\top} \circ \text{top}_{|A|}} \text{AR-TOP} \qquad \frac{\mathcal{L}; []; A; (\lambda c. c) \vdash_L A <: \text{Nat} \rightsquigarrow \mathcal{X}}{\mathcal{L} \vdash_R A <: \text{Nat} \rightsquigarrow \mathcal{X}[\text{id}_{|\text{Nat}|}]} \text{AR-NAT}$$

$\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A <: B \rightsquigarrow \mathcal{X}'$

Left Focusing

$$\frac{\text{AL-NAT}}{[]; \mathcal{M}; A_0; \mathcal{X} \vdash_L \text{Nat} <: \text{Nat} \rightsquigarrow \mathcal{X}} \qquad \frac{\mathcal{L}; \mathcal{M}; A_0; (\lambda c. \mathcal{X}[c \circ \pi_1^{|A_1|, |A_2|}]) \vdash_L A_1 <: \text{Nat} \rightsquigarrow \mathcal{X}'}{\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A_1 \& A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}'} \text{AL-AND1}$$

$$\frac{\mathcal{L}; \mathcal{M}; A_0; (\lambda c. \mathcal{X}[c \circ \pi_2^{|A_1|, |A_2|}]) \vdash_L A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}'}{\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A_1 \& A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}'} \text{AL-AND2}$$

$$\frac{[] \vdash_R B_1 <: A_1 \rightsquigarrow c_1 \quad \mathcal{L}; \mathcal{M}, B_1; A_0; (\lambda c. \mathcal{X}[c_1 \rightarrow c]) \vdash_L A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}'}{B_1, \mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A_1 \rightarrow A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}'} \text{AL-ARR}$$

$$\frac{[] \vdash_R A_0 <: (\mathcal{M} \rightarrow A_1) \rightsquigarrow c_2 \quad \mathcal{L}; \mathcal{M}; A_0; \mathcal{X}' \vdash_L A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}''}{\mathcal{X}' = (\lambda c. [\mathcal{M}]_{\&}^{(c \circ \pi_1^{|(A_1 \rightarrow A_2)|, |A_1|} \ominus \pi_2^{|(A_1 \rightarrow A_2)|, |A_1|})}, (A_1 \rightarrow A_2), A_1 \circ \langle \mathcal{X}[\text{id}_{|A_1 \rightarrow A_2|}], c_2 \rangle)} \text{AL-MP}$$

$$\frac{}{\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A_1 \rightarrow A_2 <: \text{Nat} \rightsquigarrow \mathcal{X}''} \text{AL-MP}$$

Fig. 9. Algorithmic Subtyping

an algorithmic context \mathcal{X} into a coercion by function application, denoted as $\mathcal{X}[c]$. The role of algorithmic contexts is explained in Section 5.1.2 where we discuss the left-focusing rules.⁸

5.1.1 Right Focusing. As mentioned earlier, the first judgment $(\mathcal{L} \vdash_R A <: B \rightsquigarrow c)$ structurally analyzes B , until it is stripped down to a base type (Nat or \top). The accumulating parameter \mathcal{L} captures the domains of arrows encountered in B , such that the following holds:

LEMMA 5.1 (SOUNDNESS OF RIGHT FOCUSING). *If $\mathcal{L} \vdash_R A <: B \rightsquigarrow c$ then $A <: (\mathcal{L} \rightarrow B) \rightsquigarrow c$.*

The first judgment is mostly borrowed from NeColus; we explain it only briefly here.

Subtyping. Ignoring coercion generation for now, the judgment works as follows: Rule AR-AND decomposes an intersection type, similar to its declarative counterpart (rule S-AND). Rule AR-ARR deals with arrow types: the left sides of arrow types are inserted in the rear of type queue \mathcal{L} and removed again later, left-to-right, as arrows are encountered in A (see rule AL-ARR below). There are two base cases: either $B = \top$ or $B = \text{Nat}$. Rule AR-TOP handles the first case, where

⁸In both our mechanization and implementation of the algorithm we use a defunctionalized [Reynolds 1972] representation of algorithmic contexts, which we then interpret as actual functions between coercions.

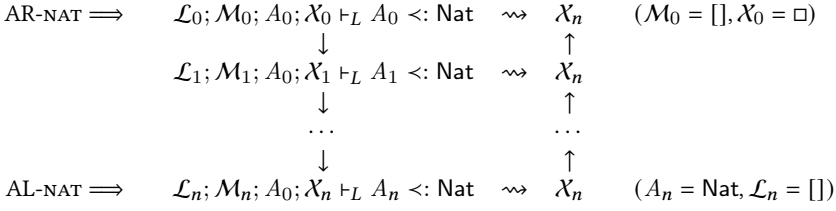


Fig. 10. Visual Representation of the Execution of $(\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A <: B \rightsquigarrow \mathcal{X}')$

subtyping directly produces a coercion with the use of the meta-function $\llbracket \mathcal{L} \rrbracket_{\top}$, discussed in the next paragraph. If $B = \text{Nat}$, then we perform structural analysis on A , using judgment $\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A <: B \rightsquigarrow \mathcal{X}'$, which we elaborate on in Section 5.1.2.

Coercion Generation. The elaboration side is a bit more involved. Coercion generation can be understood via Lemma 5.1 and works as follows. Rule AR-AND deals with intersection types. By induction we have that

$$\left. \begin{array}{l} A <: (\mathcal{L} \twoheadrightarrow B_1) \rightsquigarrow c_1 \\ A <: (\mathcal{L} \twoheadrightarrow B_2) \rightsquigarrow c_2 \end{array} \right\} \implies A <: (\mathcal{L} \twoheadrightarrow B_1) \& (\mathcal{L} \twoheadrightarrow B_2) \rightsquigarrow \langle c_1, c_2 \rangle$$

We finish the transition to $\mathcal{L} \twoheadrightarrow (B_1 \& B_2)$ using meta-function $\llbracket \mathcal{L} \rrbracket_{\&}^{B_1, B_2}$, whose meaning is given by the following lemma:⁹

$$\text{LEMMA 5.2. } \forall \mathcal{L}, B_1, B_2, (\mathcal{L} \twoheadrightarrow B_1) \& (\mathcal{L} \twoheadrightarrow B_2) <: \mathcal{L} \twoheadrightarrow (B_1 \& B_2) \rightsquigarrow \llbracket \mathcal{L} \rrbracket_{\&}^{B_1, B_2}.$$

Rule AR-TOP deals with the same problem that rule AR-AND does: according to Lemma 5.1, the coercion needs to witness $A <: (\mathcal{L} \twoheadrightarrow \top)$, and not simply $A <: \top$. As $(\mathcal{L} \twoheadrightarrow \top)$ is *top-like* [Alpuim et al. 2017] we can always cast \top to it, which is what $\llbracket \mathcal{L} \rrbracket_{\top}$ witnesses:⁹

$$\text{LEMMA 5.3. } \forall \mathcal{L}, \top <: (\mathcal{L} \twoheadrightarrow \top) \rightsquigarrow \llbracket \mathcal{L} \rrbracket_{\top}.$$

Rule AR-ARR is straightforward. Finally, rule AR-NAT handles the base case where B is Nat . In this case we resort to the auxiliary judgment $\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A <: B \rightsquigarrow \mathcal{X}'$, which we discuss next.

5.1.2 Left Focusing. The intuition behind $\mathcal{L}; \mathcal{M}; A_0; \mathcal{X} \vdash_L A <: B \rightsquigarrow \mathcal{X}'$ is that the (input) algorithmic context \mathcal{X} witnesses the transition from A_0 to $(\mathcal{M} \twoheadrightarrow A)$, the component of A_0 that we are currently focusing on. The (output) algorithmic context \mathcal{X}' is meant to capture the complete transition from A_0 to $(\mathcal{M} \twoheadrightarrow (\mathcal{L} \twoheadrightarrow B))$. Essentially, starting with rule AR-NAT as the entry point, the judgment continuously decomposes A (via rules AL-AND1, AL-AND2, AL-ARR, and AL-MP) until it equals B (rule AL-NAT); then the accumulated context \mathcal{X} witnesses the complete transition from A_0 to $(\mathcal{M} \twoheadrightarrow (\mathcal{L} \twoheadrightarrow B))$ and the job is done. Figure 10 visualizes this process.

Subtyping. Ignoring coercion generation, the judgment works as follows: Rule AL-NAT constitutes the base case where subtyping holds trivially. Rules AL-AND1 and AL-AND2 non-deterministically focus on the left or the right component of an intersection, similarly to their declarative counterparts (rules S-ANDL and S-ANDR, respectively). Rule AL-ARR is the algorithmic version of rule S-ARR: we dequeue B_1 from \mathcal{L} in a first-in-first-out manner, in order to match the order in which arrows are encountered in A . After we prove subtyping for the domains of the functions, we record that we are going under a binder of type B_1 in the queue \mathcal{M} and proceed recursively with the function images. Queue \mathcal{M} thus captures all the arrow domains in B that have been removed in lockstep

⁹Its definition is straightforward and thus omitted; it can be found in Appendix D.

with arrow domains in A using rule S-ARR.¹⁰ This context becomes useful when we need to use Modus Ponens under a binder, e.g. to prove

$$\text{Nat} \rightarrow (\text{Bool} \& (\text{Bool} \rightarrow \text{String})) <: \text{Nat} \rightarrow \text{String}$$

(or even $\top \rightarrow (\text{Bool} \& (\text{Bool} \rightarrow \text{String})) <: \text{Nat} \rightarrow \text{String}$). Lastly, rule AL-MP is the algorithmic version of the S-MP rule. The first premise reveals the synergistic relationship between this rule and rule AL-ARR: when we need to synthesize an argument for Modus Ponens, we restart from the original type A_0 —thus capturing the complete implicit context of $A_1 \rightarrow A_2$ —and use the contextualized version of A_1 , $(\mathcal{M} \rightarrow A_1)$. For the above example, this amounts to proving

$$\text{Nat} \rightarrow (\text{Bool} \& (\text{Bool} \rightarrow \text{String})) <: \text{Nat} \rightarrow \text{Bool}$$

The second premise is straightforward.

Note that rules AL-ARR and AL-MP overlap and, to ensure completeness with respect to the declarative specification of subtyping, backtracking is required. However, it is never the case that both rules can apply for resolving a subtyping judgment. That is, backtracking will always fail in at least one of the two choices.

Coercion Generation. The elaboration side, again, requires a bit more explanation. The complication arises in rule AL-MP, where the first premise restarts from the original type A_0 . This is essential for recovering the unfocused context needed for Modus Ponens, but it goes against the inductive, top-down approach to coercion generation that we follow in the right-focusing rules. Coercions produced by the recursive calls can no longer be directly combined. This is why the left focusing rules use algorithmic contexts instead of coercions. The essence of the algorithmic contexts is captured in the following invariant, which is preserved by each step of the left focusing algorithm:

$$\text{INVARIANT 1. } \forall c A', A_i <: A' \rightsquigarrow c \implies A_0 <: (\mathcal{M}_i \rightarrow A') \rightsquigarrow X_i[c]$$

Metavariables A_i , A_0 , \mathcal{M}_i , and X_i refer to the corresponding parameters at the i -th step in Figure 10. Informally, X captures the transition from A_0 to A_i : $X_i[c]$ witnesses the complete transition from A_0 to *any* type A' , if we have a way to perform the remaining transition from A_i to A' . Hence, every rule of the judgment strips a layer off A and extends the “input” algorithmic context accordingly, so that Invariant 1 is preserved.

Rule AL-MP is by far the most interesting rule. It commits to using the S-MP rule: the left premise produces a coercion c_2 that provides the argument (of type $\mathcal{M} \rightarrow A_1$), while the appropriate instantiation of the current context X gives us the function (of type $\mathcal{M} \rightarrow (A_1 \rightarrow A_2)$):

$$\begin{aligned} A_0 <: \mathcal{M} \rightarrow A_1 \rightsquigarrow c_2 \\ A_0 <: \mathcal{M} \rightarrow (A_1 \rightarrow A_2) \rightsquigarrow X[\text{id}_{|A_1 \rightarrow A_2|}] \end{aligned}$$

Yet, the above coercions cannot be directly combined, due to the type queue \mathcal{M} . We remedy this using meta-function $\llbracket \mathcal{M} \rrbracket_{\&}^{c, B_1, B_2}$, whose meaning is given by the following lemma:¹¹

$$\text{LEMMA 5.4. } \forall \mathcal{L} B_1 B_2 B c, B_1 \& B_2 <: B \rightsquigarrow c \implies (\mathcal{L} \rightarrow B_1) \& (\mathcal{L} \rightarrow B_2) <: \mathcal{L} \rightarrow B \rightsquigarrow \llbracket \mathcal{L} \rrbracket_{\&}^{c, B_1, B_2}$$

The general idea is that if $(A_0 <: \mathcal{M} \rightarrow A_1 \rightsquigarrow c_2)$ and $(A_0 <: \mathcal{M} \rightarrow (A_1 \rightarrow A_2) \rightsquigarrow c_1)$ then

$$A_0 <: \mathcal{M} \rightarrow A_2 \rightsquigarrow (\lambda c. \llbracket \mathcal{M} \rrbracket_{\&}^{(c \circ (\pi_1^{(A \rightarrow B)} \upharpoonright |A|) \ominus \pi_2^{(A \rightarrow B)} \upharpoonright |A|)}, (A \rightarrow B), A \circ \langle c_1, c_2 \rangle) [\text{id}_{|A_2|}]$$

Returning to rule AL-MP, the second premise uses the above algorithmic context (named X' for convenience) to further focus on A_2 .

¹⁰In Figure 10, it is easy to see that $\mathcal{M}_i ++ \mathcal{L}_i = \mathcal{L}_0$, where $++$ denotes list concatenation. At the end, we have $\mathcal{M}_n = \mathcal{L}_0$.

¹¹Its definition is straightforward and thus omitted; it can be found in Appendix D.

5.2 Algorithm Metatheory

Subsumption of NeColus' Algorithmic Subtyping. First, we have proven that the subtyping algorithm of Figure 9 subsumes the subtyping algorithm of NeColus [Bi et al. 2018, Fig. 12]:

THEOREM 5.5 (SUBSUMPTION OF NECOLUS' ALGORITHM). *If $[\] \vdash A \ll B \rightsquigarrow c$ then $A <: B \rightsquigarrow c$.*

where $\mathcal{L} \vdash A \ll B \rightsquigarrow c$ denotes the subtyping algorithm of NeColus.

Transitivity and Modus Ponens. A major difference between algorithmic and declarative subtyping is that the latter has an explicit transitivity rule while the former does not. Hence, transitivity of algorithmic subtyping requires a separate proof. Unfortunately, this proof is considerably more involved than in NeColus. Instead of one inductive core lemma, it consists of 7 mutually recursive lemmas. The well-foundedness of the induction used in the proofs of those 7 lemmas is no longer a straightforward size-based induction that can be easily understood by Coq. To overcome this problem we have used a new approach, external to Coq, to establish well-foundedness. We have encoded the proof—which is essentially an algorithm that transforms derivation trees—as a term rewriting system. Then we have used the AProVE tool [Giesl et al. 2017] to verify its termination automatically. AProVE reports success after 40 steps of transformation, decomposition and basic termination arguments.

THEOREM 5.6 (TRANSITIVITY OF ALGORITHMIC SUBTYPING). *If $A_1 <: A_2 \rightsquigarrow c_1$ and $A_2 <: A_3 \rightsquigarrow c_2$, then $A_1 <: A_3 \rightsquigarrow c_3$ for some c_3 .*

The modus ponens property for algorithmic subtyping is closely tied to transitivity proof and is another consequence of the 7 mutually recursive lemmas.

COROLLARY 5.7 (MODUS PONENS OF ALGORITHMIC SUBTYPING). *If $A <: B_1 \rightarrow B_2 \rightsquigarrow c_1$ and $A <: B_1 \rightsquigarrow c_2$, then $A <: B_2 \rightsquigarrow c_3$ for some c_3 .*

Soundness and Completeness. We have proven that the subtyping algorithm (Figure 9) is sound and complete with respect to its declarative specification (Figure 4). The soundness of the overall algorithm is a direct corollary of the soundness of right focusing (Lemma 5.1):

THEOREM 5.8 (SOUNDNESS). *If $A <: B \rightsquigarrow c$ then $A <: B \rightsquigarrow c$.*

The completeness proof largely follows the same strategy as for NeColus. The main challenge was the new transitivity proof discussed above.

THEOREM 5.9 (COMPLETENESS). *If $A <: B \rightsquigarrow c$ then there exists c' such that $A <: B \rightsquigarrow c'$.*

Termination. The final key property of the algorithm is termination. Actually, the algorithm presented in Figure 9 is not terminating. Consider for example the trace of $\text{Nat} \rightarrow \text{Nat} <: \text{Nat}$:

$$\begin{aligned} ([\] \vdash_{\text{R}} \text{Nat} \rightarrow \text{Nat} <: \text{Nat}) &\xrightarrow{\text{AR-NAT}} ([\]; [\]; \text{Nat} \rightarrow \text{Nat} \vdash_{\text{L}} \text{Nat} \rightarrow \text{Nat} <: \text{Nat}) \xrightarrow{\text{AL-MP}} \\ ([\] \vdash_{\text{R}} \text{Nat} \rightarrow \text{Nat} <: \text{Nat}) &\xrightarrow{\text{AR-NAT}} ([\]; [\]; \text{Nat} \rightarrow \text{Nat} \vdash_{\text{L}} \text{Nat} \rightarrow \text{Nat} <: \text{Nat}) \xrightarrow{\text{AL-MP}} \dots \end{aligned}$$

Such a subtyping judgment can result from an erroneous type annotation by the user. Nonetheless, the language does not statically detect annotations that may cause non-termination in the subtyping algorithm. Another example, perhaps more plausible as a programming error, is an annotation that leads to the judgment $(\text{Nat} \rightarrow \text{Bool}) \ \& \ (\text{Bool} \rightarrow \text{Nat}) <: \text{Nat}$. One simple ingredient needs to be added to the algorithm to make it terminating: a loop detection on the $[\] \vdash_{\text{R}} A <: B$ call in rule AL-MP. This loop detection should reject any calls that repeat one of their ancestor calls.

We can show that (1) any infinite derivation involves an infinite number of these nested calls and that (2) detecting repeated calls is effective at stopping the non-termination.

A priori there are multiple possible ways in which the algorithm can diverge. It might involve an infinite sequence of recursive calls within one of the two judgments. However, following the focusing approach, the two judgments structurally decompose their focal argument. Hence, on their own they are clearly terminating. Any divergence must thus involve an infinite number of mutually recursive calls between the two judgments. There are two “gatekeepers” of the mutual recursion, calling back from the left-focusing into the right-focusing judgment. These two are the problematic one in rule $AL\text{-}MP$ and the one in rule $AL\text{-}ARR$. Yet, we can show that an infinite number of recursion steps through rule $AL\text{-}ARR$ is impossible and thus we can eliminate it from consideration. Indeed, the *left-arrow depth* $\|\cdot\|$ defined below decreases strictly in every $AL\text{-}ARR$ loop step, and non-strictly in every other step. Moreover, a value of 0 inhibits rule $AL\text{-}ARR$. Thus, after a finite number of $AL\text{-}ARR$ steps, the rule can no longer be used.

$$\begin{aligned} \|\text{Nat}\| &= 0 & \|A \rightarrow B\| &= \max(\|A\| + 1, \|B\|) \\ \|\top\| &= 0 & \|A \& B\| &= \max(\|A\|, \|B\|) \\ \|\square\| \vdash_R A <: B &= \max(\|A\|, \|B\|) \end{aligned}$$

That leaves only the problematic mutually recursive call in rule $AL\text{-}MP$, which we have already demonstrated to be a source of non-termination. Fortunately, we can show that the number of distinct nested calls is finite. Hence, on any infinite derivation path, a repeated call has to occur after a finite number of steps. This makes the loop detection effective at curtailing divergence.

A more extensive account can be found in Appendix G.

THEOREM 5.10 (TERMINATION). *The algorithm extended with loop detection terminates.*

Decidability. In summary, if we take the soundness, completeness and termination of the subtyping together, we can conclude that the declarative subtyping is decidable.

COROLLARY 5.11 (DECIDABLE SUBTYPING). *In λ_i^{MP} , $A <: B$ is decidable for any types A and B .*

6 COHERENCE

A desired property for calculi with a merge operator is *coherence*. This ensures that no semantic ambiguity arises in programs, i.e. programs and their subparts always have a single, unambiguous meaning. Recall that the semantics of λ_i^{MP} is given indirectly, through an elaboration to λ_c^{MP} and its operational semantics. Hence, coherence for λ_i^{MP} means that all possible elaborations of a well-typed source expression for a given type are contextually equivalent in the target language.

Two target expressions, that may be syntactically different, are *contextually equivalent* iff, when used in the context of any program, there is no difference in that program’s behavior. In our purely functional and strongly normalising setting, we can reduce a program’s behavior to the value it returns. Moreover, as usual, we can restrict ourselves without loss of generality to programs of type Nat to facilitate the comparison of the results.

Program contexts express the above notion of using an expression in the context of a program and are defined as follows:

$$\text{Program contexts } C ::= [\cdot] \mid \lambda x. C \mid CE \mid EC \mid C, \cdot \mid E, E, \cdot \mid C \mid C : A$$

Intuitively, a program context is an expression with a missing subexpression. This hole can be filled in with an appropriate term to form an actual expression. Which terms are eligible to be used in a program context is captured by the context typing judgment that consists of judgments of the form $C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Leftarrow B) \rightsquigarrow \mathcal{D}$.¹² Essentially, the judgment expresses that program context C expects a term of type A under typing context Γ to form an expression of type B under context Γ' .

¹²There are also judgments for the three other combinations of the two typing directions that appear in the judgment. The full specification can be found in Appendix C.

Moreover, the source context C elaborates straightforwardly to a target context \mathcal{D} . Indeed, for the coherence of λ_i^{MP} we do not account for all possible target contexts, but only for those that are the image of a source context C . This restriction is important, as Bi et al. [2018] have demonstrated that there are other target contexts that can distinguish between alternative elaborations.

We can now formally state coherence¹³.

THEOREM 6.1 (COHERENCE OF λ_i^{MP}). *For any $C : (\Gamma \Rightarrow A) \mapsto (\bullet \Rightarrow \text{Nat}) \rightsquigarrow \mathcal{D}$, if $\Gamma \vdash E \Rightarrow A \rightsquigarrow e_1$ and $\Gamma \vdash E \Rightarrow A \rightsquigarrow e_2$, then there is a value $\bullet \vdash v : \text{Nat}$ such that $\mathcal{D}\{e_1\} \longrightarrow^* v$ and $\mathcal{D}\{e_2\} \longrightarrow^* v$.*

Here, $\mathcal{D}\{e\}$ denotes the term that results from filling in the hole of \mathcal{D} with expression e .

The remainder of this section summarizes our proof approach for coherence and discusses the issues for our proof's partiality. A more extensive account can be found in Appendix F.

6.1 A Context Dependent Logical Relation

We follow the general approach for showing coherence as NeColus. This approach is based on a rather atypical heterogeneous binary logical relation, called *canonicity*, by Bi et al. [2019].

Canonicity. The canonicity relation is in fact two families of relations, one for values \mathcal{V} and one for expressions \mathcal{E} , indexed by types. Two values v_1 and v_2 of types τ_1 and τ_2 are related by canonicity, written $(v_1, v_2) \in \mathcal{V}(\tau_1, \tau_2)$, iff any parts of the same type have the same meaning. By parts we mean values v'_1 and v'_2 derivable from respectively v_1 and v_2 by means of subtyping coercions. If two values have non-trivial (i.e., non-top-like) parts with the same type, we say that they overlap. For instance, values $\langle 1, \text{true} \rangle$ and 1 overlap since both have a part of type Nat ; because their overlapping part is the same, namely 1 , these values are related by canonicity. In contrast, $\langle 1, \text{true} \rangle$ and 2 are not related since their overlapping Nat parts are different.

While the λ_i^{MP} canonicity relation follows the above principles just like that of NeColus, there are considerable differences in the actual definition because of the addition of modus ponens.

Context Dependency. A major complicating factor in the design of the new logical relation is that the modus ponens subtyping rule introduces a form of context dependency. Indeed, when reasoning about overlapping parts, the NeColus approach of decomposing terms and their types, and looking at their syntactic components in isolation no longer works. Instead, in λ_i^{MP} the components have to be considered in the context of the whole value they appear in. For example, the target term $\lambda x : \text{Nat}. \text{true}$ does not overlap with false , since one is an arrow type and the other a boolean. Yet, when $\lambda x : \text{Nat}. \text{true}$ is part of the larger term $\langle \lambda x : \text{Nat}. \text{true}, 1 \rangle$, a problematic overlap arises: via modus ponens, the function applied to 1 to yields the boolean value true that differs from false .

To account for that dependency on the whole value, or contextual dependency for short, we equip the canonicity relation of λ_i^{MP} with two additional indices: the whole values and their types. Thus, we write

$$(v_1, v_2) \in \mathcal{V}^{(v'_1 : \tau'_1 ; v'_2 : \tau'_2)} \llbracket \tau_1 ; \tau_2 \rrbracket$$

to express that v_1 and v_2 are related values of types τ_1 and τ_2 that are components of respectively the values v'_1 of type τ'_1 and v'_2 of type τ'_2 .

We refer to Appendix F for the full definition of this context-dependent canonicity relation \mathcal{V} for values and its companion \mathcal{E} for expressions.

¹³There is a second statement for the type checking direction of the two hypotheses.

Properties. A key property of the canonicity relation is *coercion compatibility*. This states that any coercions of related values are related as well.

LEMMA 6.2 (COERCION COMPATIBILITY).

If $(v_1, v_2) \in \mathcal{V}^{(v_1:\tau_1;v_2:\tau_2)}[\tau_1; \tau_2]$, then for all coercions c_1, c_2 and types τ'_1, τ'_2 such that $c_1 \vdash \tau_1 \triangleright \tau'_1$ and $c_2 \vdash \tau_2 \triangleright \tau'_2$ it holds that $(c_1 v_1, c_2 v_2) \in \mathcal{E}^{(c_1 v_1:\tau'_1;c_2 v_2:\tau'_2)}[\tau'_1; \tau'_2]$.

The following lemma asserts another key property of the value relation, which establishes its connection to disjointness. This follows from the design principle that any overlap in types should concern indistinguishable values. If there is no overlap, then this is trivially satisfied.

LEMMA 6.3 (DISJOINTLY-TYPED VALUES ARE RELATED). If $\bullet \vdash v_1 : |A_1|$ and $\bullet \vdash v_1 : |A_2|$ where $A_1 * A_2$, then $(v_1, v_2) \in \mathcal{V}^{(v_1:|A_1|;v_2:|A_2|)}[|A_1|; |A_2|]$.

6.2 Coherence Proof

With the canonicity relation in place, we can turn to the actual coherence proof. This proof is split in two parts by the canonicity relation. The first and hardest part is to show that any two elaborations of the same source term are related by canonicity; this is the so-called *fundamental property*. The second part is to show that related programs of type Nat return the same value; it follows almost trivially from the definition of the canonicity relation.

Logical Equivalence. To express the fundamental property, we need to introduce a notion of logical equivalence for open expressions on top of the canonicity relations for closed values (\mathcal{V}) and closed terms (\mathcal{E}). Open expressions are considered in some typing context Δ . A *closing substitution* γ of a typing context Δ maps variables to values of the type specified in Δ . Two open expressions are related iff applying any two related closing substitutions to e_1 and e_2 , respectively, results in \mathcal{E} -related closed terms:

$$\Delta_1; \Delta_2 \vdash e_1 \simeq_{\log} e_2 : \tau_1; \tau_2 \triangleq \forall (\gamma_1; \gamma_2) \in \mathcal{G}[\Delta_1; \Delta_2], (e_1[\gamma_1], e_2[\gamma_2]) \in \mathcal{V}^{(e_1[\gamma_1]:\tau_1;e_2[\gamma_2]:\tau_2)}[\tau_1; \tau_2]$$

Two closing substitutions γ_1 and γ_2 of Δ_1 and Δ_2 are related iff the two typing contexts differ only in the types of the variables (otherwise, they contain the same variables and in the same order) and the values that γ_1 and γ_2 contain for each variable are \mathcal{V} -related.

Fundamental Property. Now we can state the fundamental property.¹⁴

THEOREM 6.4 (FUNDAMENTAL PROPERTY). If $\Gamma \vdash E \Rightarrow A \rightsquigarrow e_1$ and $\Gamma \vdash E \Rightarrow A \rightsquigarrow e_2$, then $|\Gamma|; |\Gamma| \vdash e_1 \simeq_{\log} e_2 : |A|; |A|$.

Due to the complexity of the canonicity relation, we have proven this theorem under Conjectures 1 and 2. The proof proceeds by induction on the first hypothesis and calls coercion compatibility for the T-SUB case. The conjectures are used in the inductive cases of T-ABS and T-MERGE.

CONJECTURE 1 (COMPATIBILITY OF ABSTRACTIONS). If $\Delta, x : \tau'_1; \Delta, x : \tau'_2 \vdash e_1 \simeq_{\log} e_2 : \tau_1; \tau_2$, then $\Delta; \Delta \vdash \lambda x : \tau'_1. e_1 \simeq_{\log} \lambda x : \tau'_2. e_2 : \tau'_1 \rightarrow \tau_1; \tau'_2 \rightarrow \tau_2$.

CONJECTURE 2 (COMPATIBILITY OF PAIRS). If $\Delta; \Delta \vdash e_1 \simeq_{\log} e_2 : \tau_1; \tau_2$ and $\Delta; \Delta \vdash e'_1 \simeq_{\log} e'_2 : \tau'_1; \tau'_2$ and $\tau_1 * \tau'_1$ and $\tau_2 * \tau'_2$, then $\Delta; \Delta \vdash \langle e_1, e'_1 \rangle \simeq_{\log} \langle e_2, e'_2 \rangle : \tau_1 \times \tau'_1; \tau_2 \times \tau'_2$.

Regarding compatibility of pairs, a tuple value can result either from an explicit merge or from a coercion applied on some value. In the first case, the subcomponents of the tuple are disjoint with each other and thus related (by Lemma 6.3). In the second case, given that the original expression

¹⁴There is a second statement for the type checking direction of the two hypotheses.

is unambiguous and since coercions do not introduce ambiguity, the coercion application is also unambiguous. However, because of the context dependency it is not easy to recover the original expression and inspect it. For a similar reason, compatibility of abstraction is also hard to prove.

Logical Equivalence Entails Contextual Equivalence. Lastly, we need to show that any logically related target expressions are contextually equivalent. The proof is fairly straightforward.

THEOREM 6.5 (LOGICAL EQUIVALENCE ENTAILS CONTEXTUAL EQUIVALENCE).

If $|\Gamma|; |\Gamma| \vdash e_1 \simeq_{\text{log}} e_2 : |A|; |A|$, then for any $C : (\Gamma \Rightarrow A) \mapsto (\bullet \Rightarrow \text{Nat}) \rightsquigarrow \mathcal{D}$, there is a value $\bullet \vdash v : \text{Nat}$ such that $\mathcal{D}\{e_1\} \longrightarrow^ v$ and $\mathcal{D}\{e_2\} \longrightarrow^* v$.*

Taken together, the (conditionally proven) fundamental property and the entailment of contextual equivalence establish Theorem 6.1, the coherence of λ_i^{MP} .

7 RELATED WORK

Resolution and Subtyping. The main contribution of our work is to unify resolution and subtyping into a single mechanism. Resolution is a common technique for automatically constructing implicit values, such as Haskell’s *type class dictionaries* [Wadler and Blott 1989] or Scala’s *implicits* [Odersky 2010]. Subtyping is widely used in Object-Oriented programming and various other type systems [Barendregt et al. 1983; Chen 2003; Gay and Hole 2005; Pierce and Sangiorgi 1996]. In our work we interpret resolution as a special case of coercive subtyping [Chen 2003], where coercions are generated automatically in a type-directed fashion and then “inserted” into the program. This fits well with the common type-directed elaboration style usually employed by resolution mechanisms, which work in a similar way.

As far as we are aware, the unification of subtyping and resolution is novel. Despite the fact that languages like Scala integrate both mechanisms, only Jeffery [2019] combines both mechanisms within his DIF calculus. Unfortunately—in contrast to λ_i^{MP} —DIF’s subtyping is known to be undecidable. Furthermore, Jeffery does not study the coherence of DIF. Scala implicits [Odersky 2010] have motivated other researchers to look into the foundations of the mechanism. However, all previous work on implicit calculi, including the *implicit calculus* [Oliveira et al. 2012], *Cochis* [Schrijvers et al. 2019] and the *SI calculus* [Odersky et al. 2017], do not account for subtyping. Instead, they all focus on a System F [Reynolds 1974] based language (without subtyping), and model resolution for such a language. One aspect that these implicit calculi preserve from Scala is a biased form of resolution where innermost implicits are preferred. In contrast, our disjointness approach is more reminiscent of Haskell’s non-overlapping type class instances, which are unbiased.

The most common technique to algorithmically construct values by resolution, attributed to Kowalski [1974], is commonly known as *SLD resolution*, or *backwards chaining*. Another technique, mostly used as a proof search method, is *focusing* [Andreoli 1992; Liang and Miller 2009; Miller et al. 1989] and has been used to great effect in similar calculi (e.g. COCHIS [Schrijvers et al. 2019] and Haskell with quantified class constraints [Bottu et al. 2017]) to create coherent resolution mechanisms. Our subtyping algorithm of Section 5 closely resembles the focusing technique.

Coherence. Since Reynolds [1991] proved coherence for a calculus with intersection types, based on denotational semantics, many researchers have studied it in a variety of typed calculi. Below we summarize two commonly-found approaches in the literature: normal forms, and logical relations.

Breazu-Tannen et al. [1991] proved the coherence of a coercion translation from Fun [Cardelli and Wegner 1985] extended with recursive types to System F, by showing that any two typing derivations of the same judgment are normalizable to the same form. Curien and Ghelli [1994] presented a translation of System F_{\leq} into a calculus with explicit coercions and showed that any derivations of the same judgment are translated to terms that are normalizable to a unique normal

form. Following the same approach, Schwinghammer [2009] proved the coherence of coercion translation from Moggi’s computational lambda calculus [Moggi 1991] with subtyping.

Central to the first approach is to find a unique normal form for derivations. However, this approach cannot be directly applied to Curry-style calculi, i.e., where the lambda abstractions are not type-annotated. Also, it does not work when the calculus has general recursion. Biernacki and Polesiuk [2015] considered the coherence problem of coercion semantics. Their criterion for coherence of the translation is *contextual equivalence* in the target calculus. They used a logical relation for establishing coherence for coercion semantics, applicable in a variety of calculi, including delimited continuations and control-effect subtyping.

As far as we know, the first work to use logical relations to show the coherence for intersection types and the merge operator was that of Bi et al. [2018] for NeColus, and later for F_i^+ [Bi et al. 2019]. The BCD subtyping in both calculi (as well as our own) poses a non-trivial complication over Biernacki and Polesiuk’s simple structural subtyping. In their system any two coercions between given types are behaviorally equivalent in the target language, so their coherence reasoning can all take place in the target language. In NeColus, F_i^+ , and λ_i^{MP} this is not the case; coercions can be distinguished by arbitrary target programs but not those that are elaborations of source programs. Hence, reasoning is restricted to the latter class, which is reflected in a more complicated notion of contextual equivalence and the logical relation’s non-trivial treatment of pairs. In addition to these complications, our logical relation (and consequently the coherence proof) is significantly more convoluted than that of NeColus and F_i^+ , due to the non-compositionality of Modus Ponens.

Intersection Types and the Merge Operator. Forsythe [Reynolds 1988] is one of the first languages with intersection types and a merge-like operator. However, merges in Forsythe cannot contain more than one function; this restriction ensures that the language is coherent. Similarly, Castagna et al.’s $\lambda\&$ calculus [Castagna et al. 1992] includes a special merge operator that works on functions only. More recently, Dunfield [2012] showed significant expressiveness of type systems with intersection types and a merge operator, at the cost of coherence. The limitation was addressed by Oliveira et al. [2016], who introduced disjointness to ensure coherence. The combination of intersection types, a merge operator and parametric polymorphism—while achieving coherence—was first studied in the F_i calculus [Alpuim et al. 2017]. Its successor, F_i^+ [Bi et al. 2019] additionally incorporates BCD subtyping [Barendregt et al. 1983], while remaining coherent. NeColus [Bi et al. 2018] has been the starting point for our design of λ_i^{MP} and incorporates intersection types, a merge operator, and BCD-style distributivity. Though neither NeColus nor λ_i^{MP} accounts for parametric polymorphism, this is a direction we would like to explore in the future.

BCD Type System and Decidability. The BCD type system was first introduced by Barendregt et al. [1983]. It is derived from a filter lambda model in order to characterize exactly the strongly normalizing terms. The BCD type system features a powerful subtyping relation, which serves as a base for ours. Bessai et al. [2014] showed how to type classes and mixins in a BCD-style record calculus with the merge operator of Bracha and Cook [1990]. Their merge only operates on records, and they only study a type assignment system. The decidability of BCD subtyping has been shown in several works [Kurata and Takahashi 1995; Pierce 1989; Rehof and Urzyczyn 2011; Statman 2014]. Laurent [2012] has formalized the relation in Coq in order to eliminate transitivity cuts from it, but he does not deliver an algorithm. Based on the work of Statman [2014], Bessai et al. [2016] show a formally verified subtyping algorithm in Coq. Our algorithm follows the decision procedure of Bi et al. [2018] and Pierce [1989]. However the addition of modus ponens requires significant changes to the algorithm, and complicates the metatheory.

Coq Type Classes. Coq employs a flexible type class system [Sozeau and Oury 2008b] that permits overlapping instances and provides the user with the means to control resolution: Coq instances are named and can be explicitly passed as arguments. The system poses no restrictions over the implicit environment, like non-overlapping instances in Haskell or disjointness in λ_i^{MP} . Therefore, coherence becomes a choice and responsibility of the user.

In contrast, λ_i^{MP} employs a stricter system that provides less control over subtyping. First, implicit environments are not possible if not internally disjoint. Second, going against the intuition which relates classes to types and instances to appropriately typed terms, expressions in λ_i^{MP} can be rather viewed as typed implicit environments, which can be composed with the use of the merge operator. Passing a value to a function in λ_i^{MP} can be seen as bringing an implicit environment in scope within the body of the function. The function, then, can use that environment to infer values from it, but it does not have access to the names of the instances contained in it. This is conceptually different from the named instances of Coq that can be optionally passed explicitly by the user. Last, Coq uses a global environment to which instances are inserted at their point of declaration.

8 CONCLUSION AND FUTURE WORK

This paper has shown that, with a small extension, subtyping with intersection types can be used to model resolution. We have materialized our ideas in the design of λ_i^{MP} , a type-safe extension of NeColus with the logical rule for Modus Ponens. This small extension significantly increases the expressive power of λ_i^{MP} 's predecessor, allowing us to model features such as type class resolution and first-class environments.

Future Work. A natural avenue for future work is the extension of λ_i^{MP} with parametric polymorphism. When done naively, it can make the algorithm diverge and, like in Haskell, additional conditions will have to be imposed to recover termination. Moreover, we believe that there are various applications for our work that we wish to explore in the future.

In a Haskell-like setting, our design would enable multiple implicit environments, instead of a single global one. Such environments could be first-class, could be explicitly passed as arguments or returned as a result, or could be composed to form larger implicit environments. The programmer would then be able to choose which of the implicit environments to use for resolution.

In a Scala-like setting, where subtyping is present, our design helps clarify the interaction between resolution and subtyping. This is important, from the practical point of view, since the interaction between the two mechanisms has been a continuous source of compiler bugs¹⁵. Furthermore, our work could also be interesting to offer a unified view of subtyping and resolution, which could significantly reduce the implementation effort for the two mechanisms.

Finally, languages like TypeScript can support a merge operator for intersection types via an encoding [Alpuim et al. 2017]. Since Typescript has subtyping, intersection types and the merge operator (via an encoding), it would be quite interesting to explore the potential to extend the current formulation of intersection types in TypeScript with the ideas of our work.

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¹⁵See for example <https://github.com/scala/bug/issues/2509> or <https://github.com/scala/bug/issues/9764>.

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A COMPLETE SPECIFICATION OF λ_c^{MP}

$\Delta \vdash e : \tau$ Term Typing

$$\begin{array}{c}
 \frac{x : \tau \in \Delta}{\Delta \vdash x : \tau} \text{TYP-VAR} \qquad \frac{}{\Delta \vdash \langle \rangle : \langle \rangle} \text{TYP-UNIT} \qquad \frac{}{\Delta \vdash i : \text{Nat}} \text{TYP-LIT} \\
 \\
 \frac{\Delta, x : \tau_1 \vdash e : \tau_2}{\Delta \vdash \lambda x : \tau_1. e : \tau_1 \rightarrow \tau_2} \text{TYP-ABS} \qquad \frac{\Delta \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Delta \vdash e_2 : \tau_1}{\Delta \vdash e_1 e_2 : \tau_2} \text{TYP-APP} \\
 \\
 \frac{\Delta \vdash e_1 : \tau_1 \quad \Delta \vdash e_2 : \tau_2}{\Delta \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2} \text{TYP-PAIR} \qquad \frac{\Delta \vdash e : \tau \quad c \vdash \tau \triangleright \tau'}{\Delta \vdash c e : \tau'} \text{TYP-CAPP}
 \end{array}$$

$c \vdash \tau_1 \triangleright \tau_2$ Coercion Typing

$$\begin{array}{c}
 \text{CT-REFL} \qquad \frac{}{\text{id}_\tau \vdash \tau \triangleright \tau} \qquad \frac{\text{CT-TRANS} \quad c_2 \vdash \tau_2 \triangleright \tau_3 \quad c_1 \vdash \tau_1 \triangleright \tau_2}{c_2 \circ c_1 \vdash \tau_1 \triangleright \tau_3} \qquad \text{CT-TOP} \qquad \frac{}{\text{top}_\tau \vdash \tau \triangleright \langle \rangle} \qquad \text{CT-TOPARR} \qquad \frac{}{\text{top}_{\rightarrow} \vdash \langle \rangle \triangleright \langle \rangle \rightarrow \langle \rangle} \\
 \\
 \frac{c_1 \vdash \tau_1 \triangleright \tau_2 \quad c_2 \vdash \tau_1 \triangleright (\tau_2 \rightarrow \tau_3)}{c_2 \ominus c_1 \vdash \tau_1 \triangleright \tau_3} \text{CT-MP} \qquad \frac{c_1 \vdash \tau'_1 \triangleright \tau_1 \quad c_2 \vdash \tau_2 \triangleright \tau'_2}{c_1 \rightarrow c_2 \vdash \tau_1 \rightarrow \tau_2 \triangleright \tau'_1 \rightarrow \tau'_2} \text{CT-ARR} \\
 \\
 \text{CT-PAIR} \qquad \frac{c_1 \vdash \tau_1 \triangleright \tau_2 \quad c_2 \vdash \tau_1 \triangleright \tau_3}{\langle c_1, c_2 \rangle \vdash \tau_1 \triangleright \tau_2 \times \tau_3} \qquad \text{CT-PROJL} \qquad \frac{}{\pi_1^{\tau_1, \tau_2} \vdash \tau_1 \times \tau_2 \triangleright \tau_1} \qquad \text{CT-PROJR} \qquad \frac{}{\pi_2^{\tau_1, \tau_2} \vdash \tau_1 \times \tau_2 \triangleright \tau_2} \\
 \\
 \frac{}{\text{dist}_{\rightarrow}^{\tau_1, \tau_2, \tau_3} \vdash (\tau_1 \rightarrow \tau_2) \times (\tau_1 \rightarrow \tau_3) \triangleright \tau_1 \rightarrow \tau_2 \times \tau_3} \text{CT-DISTARR}
 \end{array}$$

$e \longrightarrow e'$

Operational Semantics

$\frac{\text{STEP-ID}}{(\text{id}_\tau) v \longrightarrow v}$	$\frac{\text{STEP-TRANS}}{(c_1 \circ c_2) v \longrightarrow c_1 (c_2 v)}$	$\frac{\text{STEP-MP}}{(c_2 \ominus c_1) v \longrightarrow (c_2 v) (c_1 v)}$	
$\frac{\text{STEP-TOP}}{\text{top}_\tau v \longrightarrow \langle \rangle}$	$\frac{\text{STEP-TOPARR}}{(\text{top}_\rightarrow, \langle \rangle) \langle \rangle \longrightarrow \langle \rangle}$	$\frac{\text{STEP-ARR}}{((c_1 \rightarrow c_2) v_1) v_2 \longrightarrow c_2 (v_1 (c_1 v_2))}$	
$\frac{\text{STEP-PAIR}}{\langle c_1, c_2 \rangle v \longrightarrow \langle c_1 v, c_2 v \rangle}$	$\frac{\text{STEP-DISTARR}}{(\text{dist}_\rightarrow^{\tau_1, \tau_2} \langle v_1, v_2 \rangle) v_3 \longrightarrow \langle v_1 v_3, v_2 v_3 \rangle}$	$\frac{\text{STEP-PROJL}}{\pi_1^{\tau_1, \tau_2} \langle v_1, v_2 \rangle \longrightarrow v_1}$	
$\frac{\text{STEP-PROJR}}{\pi_2^{\tau_1, \tau_2} \langle v_1, v_2 \rangle \longrightarrow v_2}$	$\frac{\text{STEP-BETA}}{(\lambda x : \tau. e) v \longrightarrow [v/x]e}$	$\frac{\text{STEP-APP1}}{e_1 \longrightarrow e'_1}{e_1 e_2 \longrightarrow e'_1 e_2}$	$\frac{\text{STEP-APP2}}{e_2 \longrightarrow e'_2}{v_1 e_2 \longrightarrow v_1 e'_2}$
$\frac{\text{STEP-PAIR1}}{e_1 \longrightarrow e'_1}{\langle e_1, e_2 \rangle \longrightarrow \langle e'_1, e_2 \rangle}$	$\frac{\text{STEP-PAIR2}}{e_2 \longrightarrow e'_2}{\langle v_1, e_2 \rangle \longrightarrow \langle v_1, e'_2 \rangle}$	$\frac{\text{STEP-CAPP}}{e \longrightarrow e'}{c e \longrightarrow c e'}$	

B ADDITIONAL DEFINITIONS

Definition B.1 (Type Translation).

$$\begin{aligned} |A| &= \text{Nat} \\ |\top| &= \langle \rangle \\ |A \rightarrow B| &= |A| \rightarrow |B| \\ |A \& B| &= |A| \times |B| \end{aligned}$$

Definition B.2 (Typing Context Translation).

$$\begin{aligned} |\bullet| &= \bullet \\ |\Gamma, x : A| &= |\Gamma|, x : |A| \end{aligned}$$

Definition B.3.

$\vdash \Gamma$

Well formed typing context

$$\frac{}{\vdash \bullet} \text{WFC-EMPTY} \qquad \frac{\vdash \Gamma \quad x \notin \Gamma}{\vdash \Gamma, x : A} \text{WFC-CONS}$$

C CONTEXT TYPING

$C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow B) \rightsquigarrow \mathcal{D}$ Context Typing I

$$\frac{}{[\cdot] : (\Gamma \Rightarrow A) \mapsto (\Gamma \Rightarrow A) \rightsquigarrow [\cdot]} \text{CTYP-EMPTY1}$$

$$\frac{C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_1 \rightarrow A_2) \rightsquigarrow \mathcal{D} \quad \Gamma' \vdash E_2 \Leftarrow A_1 \rightsquigarrow e}{C E_2 : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow \mathcal{D} e} \text{CTYP-APPL1}$$

$$\frac{\Gamma' \vdash E_1 \Rightarrow A_1 \rightarrow A_2 \rightsquigarrow e \quad C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Leftarrow A_1) \rightsquigarrow \mathcal{D}}{E_1 C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow e \mathcal{D}} \text{CTYP-APPR1}$$

$$\frac{C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_1) \rightsquigarrow \mathcal{D} \quad \Gamma' \vdash E_2 \Rightarrow A_2 \rightsquigarrow e \quad A_1 * A_2}{C ,, E_2 : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_1 \& A_2) \rightsquigarrow \langle \mathcal{D}, e \rangle} \text{CTYP-MERGERL1}$$

$$\frac{\Gamma' \vdash E_1 \Rightarrow A_1 \rightsquigarrow e \quad C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow \mathcal{D} \quad A_1 * A_2}{E_1 ,, C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Rightarrow A_1 \& A_2) \rightsquigarrow \langle e, \mathcal{D} \rangle} \text{CTYP-MERGERR1}$$

$$\frac{C : (\Gamma \Rightarrow B) \mapsto (\Gamma' \Leftarrow A) \rightsquigarrow \mathcal{D}}{(C : A) : (\Gamma \Rightarrow B) \mapsto (\Gamma' \Rightarrow A) \rightsquigarrow \mathcal{D}} \text{CTYP-ANNO1}$$

$C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Leftarrow B) \rightsquigarrow \mathcal{D}$ Context Typing II

$$\frac{\text{CTYP-EMPTY2}}{[\cdot] : (\Gamma \Leftarrow A) \mapsto (\Gamma \Leftarrow A) \rightsquigarrow [\cdot]}$$

$$\frac{\text{CTYP-ABS2} \quad C : (\Gamma \Leftarrow A) \mapsto (\Gamma', x : A_1 \Leftarrow A_2) \rightsquigarrow \mathcal{D} \quad x \notin \Gamma'}{\lambda x. C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Leftarrow A_1 \rightarrow A_2) \rightsquigarrow \lambda x. \mathcal{D}}$$

$C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow B) \rightsquigarrow \mathcal{D}$ Context Typing III

$$\frac{C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_1 \rightarrow A_2) \rightsquigarrow \mathcal{D} \quad \Gamma' \vdash E_2 \Leftarrow A_1 \rightsquigarrow e}{C E_2 : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow \mathcal{D} e} \text{CTYP-APPL2}$$

$$\frac{\Gamma' \vdash E_1 \Rightarrow A_1 \rightarrow A_2 \rightsquigarrow e \quad C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Leftarrow A_1) \rightsquigarrow \mathcal{D}}{E_1 C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow e \mathcal{D}} \text{CTYP-APPR2}$$

$$\frac{C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_1) \rightsquigarrow \mathcal{D} \quad \Gamma' \vdash E_2 \Rightarrow A_2 \rightsquigarrow e \quad A_1 * A_2}{C ,, E_2 : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_1 \& A_2) \rightsquigarrow \langle \mathcal{D}, e \rangle} \text{CTYP-MERGERL2}$$

$$\frac{\Gamma' \vdash E_1 \Rightarrow A_1 \rightsquigarrow e \quad C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_2) \rightsquigarrow \mathcal{D} \quad A_1 * A_2}{E_1 ,, C : (\Gamma \Leftarrow A) \mapsto (\Gamma' \Rightarrow A_1 \& A_2) \rightsquigarrow \langle e, \mathcal{D} \rangle} \text{CTYP-MERGERR2}$$

$$\frac{C : (\Gamma \Leftarrow B) \mapsto (\Gamma' \Leftarrow A) \rightsquigarrow \mathcal{D}}{C : A : (\Gamma \Leftarrow B) \mapsto (\Gamma' \Rightarrow A) \rightsquigarrow \mathcal{D}} \text{CTYP-ANNO2}$$

$C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Leftarrow B) \rightsquigarrow \mathcal{D}$	Context Typing IV
--	-------------------

$$\frac{C : (\Gamma \Rightarrow A) \mapsto (\Gamma', x : A_1 \Leftarrow A_2) \rightsquigarrow \mathcal{D} \quad x \notin \Gamma'}{\lambda x. C : (\Gamma \Rightarrow A) \mapsto (\Gamma' \Leftarrow A_1 \rightarrow A_2) \rightsquigarrow \lambda x. \mathcal{D}} \text{CTYP-ABS1}$$

D META-FUNCTIONS

The three meta-functions we used in Section 5 are formally defined as follows:

Definition D.1.

$$\begin{aligned} \llbracket [] \rrbracket_{\&}^{c, B_1, B_2} &= c \\ \llbracket A, \mathcal{L} \rrbracket_{\&}^{c, B_1, B_2} &= (\text{id}_{|A|} \rightarrow \llbracket \mathcal{L} \rrbracket_{\&}^{c, B_1, B_2}) \circ \text{dist}_{\rightarrow}^{|A|, |\mathcal{L} \rightarrow B_1|, |\mathcal{L} \rightarrow B_2|} \end{aligned}$$

Definition D.2.

$$\llbracket \mathcal{L} \rrbracket_{\&}^{B_1, B_2} = \llbracket \mathcal{L} \rrbracket_{\&}^{(\text{id}_{|B_1 \& B_2|})^{B_1, B_2}}$$

Definition D.3.

$$\begin{aligned} \llbracket [] \rrbracket_{\top} &= \text{id}_{|\top|} \\ \llbracket A, \mathcal{L} \rrbracket_{\top} &= (\text{top}_{|A|} \rightarrow \llbracket \mathcal{L} \rrbracket_{\top}) \circ \text{top}_{\rightarrow} \end{aligned}$$

E COMPLETE DERIVATION EXAMPLES OF TYPING AND ALGORITHMIC SUBTYPING

Typing Derivation Example. Below we show the typing derivation for the example expression $1 : \text{Nat} \& \text{Nat}$, taken from Section 4.4.

$$\begin{array}{c} \frac{}{\vdash \bullet} \quad \frac{}{\bullet \vdash 1 \Rightarrow \text{Nat} \rightsquigarrow \underline{1}} \text{T-NAT} \quad \frac{\frac{}{\text{Nat} \<: \text{Nat} \rightsquigarrow \text{id}_{\text{Nat}}} \text{S-REFL} \quad \frac{}{\text{Nat} \<: \text{Nat} \rightsquigarrow \text{id}_{\text{Nat}}} \text{S-REFL}}{\text{Nat} \<: \text{Nat} \& \text{Nat} \rightsquigarrow \langle \text{id}_{\text{Nat}}, \text{id}_{\text{Nat}} \rangle} \text{S-AND} \\ \frac{\bullet \vdash 1 \Leftarrow \text{Nat} \& \text{Nat} \rightsquigarrow \langle \text{id}_{\text{Nat}}, \text{id}_{\text{Nat}} \rangle \underline{1}}{\bullet \vdash 1 : \text{Nat} \& \text{Nat} \Rightarrow \text{Nat} \& \text{Nat} \rightsquigarrow \langle \text{id}_{\text{Nat}}, \text{id}_{\text{Nat}} \rangle \underline{1}} \text{T-ANNO} \end{array}$$

The generated target term is $\langle \text{id}_{\text{Nat}}, \text{id}_{\text{Nat}} \rangle \underline{1}$, which reduces to $\langle 1, 1 \rangle$, following the operational semantics of λ_c^{MP} .

Algorithmic Subtyping Derivation Example. Here we illustrate how the algorithm of Section 5.1 works with an example derivation. Due to space restrictions, we shorten Nat, String, and Bool to N, S, and B, respectively, and omit all mention of coercions.

$$\begin{array}{c} \frac{}{\llbracket [] \rrbracket_{\top} \text{S} \<: \top} \text{AR-TOP} \quad D_2 \\ \frac{\llbracket [], \text{S} \rrbracket_{\top}; \llbracket [] \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{N}}{\llbracket [], \text{S} \rrbracket_{\top} \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{N}} \text{AL-ARR} \\ \frac{\llbracket [], \text{S} \rrbracket_{\top} \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{N}}{\llbracket [] \rrbracket_{\top} \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{S} \rightarrow \text{N}} \text{AR-NAT} \\ \frac{\llbracket [] \rrbracket_{\top} \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{S} \rightarrow \text{N}}{\llbracket \top \rrbracket_{\top} \llbracket \top \rrbracket_{\top} \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{S} \rightarrow \text{N}} \text{AR-ARR} \\ \frac{}{\top \rightarrow (\text{B} \& (\text{B} \rightarrow \text{N})) \<: \text{S} \rightarrow \text{N}} \text{A-MAIN} \end{array}$$

where D_2 is the following derivation:

$$\frac{\frac{D_3 \quad \overline{[]; (S, []); \top \rightarrow (B \& (B \rightarrow N)) \vdash_L N <: N} \text{AL-NAT}}{\overline{[]; (S, []); \top \rightarrow (B \& (B \rightarrow N)) \vdash_L B \rightarrow N <: N} \text{AL-MP}}}{\overline{[]; (S, []); \top \rightarrow (B \& (B \rightarrow N)) \vdash_L B \& (B \rightarrow N) <: N} \text{AL-AND2}}$$

where D_3 is the following derivation:

$$\frac{\frac{\overline{[] \vdash_R S <: \top} \text{AR-TOP} \quad D_4}{\overline{([], S); [], \top \rightarrow (B \& (B \rightarrow N)) \vdash_L \top \rightarrow (B \& (B \rightarrow N)) <: B} \text{AL-ARR}}{\overline{([], S) \vdash_R \top \rightarrow (B \& (B \rightarrow N)) <: B} \text{AR-BOOL}}}{\overline{[] \vdash_R \top \rightarrow (B \& (B \rightarrow N)) <: S \rightarrow B} \text{AR-ARR}}$$

where D_4 is the following derivation:

$$\frac{\overline{([], S); [], \top \rightarrow (B \& (B \rightarrow N)) \vdash_L B <: B} \text{AL-BOOL}}{\overline{([], S); [], \top \rightarrow (B \& (B \rightarrow N)) \vdash_L B \& (B \rightarrow N) <: B} \text{AL-AND1}}$$

F THE LOGICAL RELATION FOR λ_i^{MP}

Figure 11 shows the λ_i^{MP} definitions of the logical relations \mathcal{V} for values and \mathcal{E} for terms. These definitions reconcile the context-dependent nature of modus ponens with the structural recursion needed for the well-foundedness of the definitions.

The intent of the relations is of course the same as in NeColus, to only allow semantically indistinguishable overlap. Also the three general principles at the basis of their definition are preserved: 1) two values of type Nat have indistinguishable overlap only when they are identical, 2) related functions should take related inputs to related outputs, and 3) the coercion compatibility property should hold.

The first novelty to deal with modus ponens is that the family of value relations is equipped with additional indices $v'_1 : \tau'_1$ and $v'_2 : \tau'_2$ to keep track of the values that v_1 and v_2 are components of; we call these indices the respective *contexts* of v_1 and v_2 . To be precise, the intention is that we use $(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)}[\tau_1; \tau_2]$ only when there exist coercions c_1 and c_2 such that firstly $c_1 \vdash \tau'_1 \triangleright \tau_1$ and $c_2 \vdash \tau'_2 \triangleright \tau_2$, and secondly $c_1 v'_1 \longrightarrow^* v_1$ and $c_2 v'_2 \longrightarrow^* v_2$. When the contexts and the values are the same, we sometimes omit those additional indices, i.e., we abbreviate $(v_1, v_2) \in \mathcal{V}^{(v_1:\tau_1;v_2:\tau_2)}[\tau_1; \tau_2]$ to $(v_1, v_2) \in \mathcal{V}[\tau_1; \tau_2]$. The term relation \mathcal{E} is equipped with similar context indices; the one difference is that, just like the elements in the term relation, these indices are terms rather than values.

Unmodified Cases. The first three cases of the \mathcal{V} definition are essentially the same as those of NeColus: Two natural numbers are related if they are identical, which is a direct implementation of the first general principle. Also, a pair is related to another value if both components of the pair are related to that value, which is a similar specialisation of the coercion compatibility property to coercions π_1 and π_2 .

New Modus Ponens Cases. The next two cases that relate functions to non-functions are different from NeColus because of the addition of the modus ponens coercion. In NeColus all functions are related to all non-functions because the only common types they can be coerced to are top-like types whose values are indistinguishable.

$$\begin{array}{l}
\boxed{(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1; \tau_2 \rrbracket} \\
(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \text{Nat}; \text{Nat} \rrbracket \triangleq \exists i, v_1 = v_2 = i \\
(\langle v_1, v_2 \rangle, v_3) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1 \times \tau_2; \tau_3 \rrbracket \triangleq \begin{array}{l} (v_1, v_3) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1; \tau_3 \rrbracket \\ \wedge (v_2, v_3) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_2; \tau_3 \rrbracket \end{array} \\
(v_3, \langle v_1, v_2 \rangle) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_3; \tau_1 \times \tau_2 \rrbracket \triangleq \begin{array}{l} (v_3, v_1) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_3; \tau_1 \rrbracket \\ \wedge (v_3, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_3; \tau_2 \rrbracket \end{array} \\
(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1 \rightarrow \tau_2; \tau \rrbracket \triangleq \begin{array}{l} (\tau \text{ not function type}) \\ \forall c, c \vdash \tau'_1 \triangleright \tau_1 \Rightarrow (v_1 (c v'_1), v_2) \in \mathcal{E}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_2; \tau \rrbracket \end{array} \\
(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau; \tau_1 \rightarrow \tau_2 \rrbracket \triangleq \begin{array}{l} (\tau \text{ not function type}) \\ \forall c, c \vdash \tau'_2 \triangleright \tau_1 \Rightarrow (v_1, v_2 (c v'_2)) \in \mathcal{E}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau; \tau_2 \rrbracket \end{array} \\
(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1 \rightarrow \tau_2; \tau_3 \rightarrow \tau_4 \rrbracket \triangleq \\
\left(\begin{array}{l} \forall v v' \tau_0 \tau'_0 c c' d d' \tau_{01} \tau_{02}, \\ d \vdash \tau_{01} \triangleright \tau_1 \Rightarrow d' \vdash \tau_{02} \triangleright \tau_3 \Rightarrow (d v, d' v') \in \mathcal{E}^{(v:\tau_{01};v':\tau_{02})} \llbracket \tau_1; \tau_3 \rrbracket \Rightarrow \\ c \vdash \tau'_1 \triangleright \tau_{01} \rightarrow \tau_0 \Rightarrow c' \vdash \tau'_2 \triangleright \tau_{02} \rightarrow \tau'_0 \Rightarrow \\ (v_1 (d v), v_2 (d' v')) \in \mathcal{E}^{(\langle v_1 (d v), c v'_1 v \rangle:\tau_2 \times \tau_0; \langle v_2 (d' v'), c' v'_2 v' \rangle:\tau_4 \times \tau'_0)} \llbracket \tau_2; \tau_4 \rrbracket \end{array} \right) \\
\wedge \forall c, c \vdash \tau'_1 \triangleright \tau_1 \Rightarrow (v_1 (c v'_1), v_2) \in \mathcal{E}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_2; \tau_3 \rightarrow \tau_4 \rrbracket \\
\wedge \forall c, c \vdash \tau'_2 \triangleright \tau_3 \Rightarrow (v_1, v_2 (c v'_2)) \in \mathcal{E}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1 \rightarrow \tau_2; \tau_4 \rrbracket \\
(v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1; \tau_2 \rrbracket \triangleq \text{true} \quad (\text{otherwise}) \\
\boxed{(e_1, e_2) \in \mathcal{E}^{(e'_1:\tau'_1;e'_2:\tau'_2)} \llbracket \tau_1; \tau_2 \rrbracket} \\
(e_1, e_2) \in \mathcal{E}^{(e'_1:\tau'_1;e'_2:\tau'_2)} \llbracket \tau_1; \tau_2 \rrbracket \triangleq \\
\exists v_1 v_2 v'_1 v'_2, e_1 \longrightarrow^* v_1 \wedge e_2 \longrightarrow^* v_2 \wedge e'_1 \longrightarrow^* v'_1 \wedge e'_2 \longrightarrow^* v'_2 \\
\wedge (v_1, v_2) \in \mathcal{V}^{(v'_1:\tau'_1;v'_2:\tau'_2)} \llbracket \tau_1; \tau_2 \rrbracket
\end{array}$$

Fig. 11. Logical Relations

This is not so in λ_c^{MP} , where the S-MP rule can be used to derive a non-function value from a function. Hence, our logical relation states that a function and non-function are related only if all S-MP-enabled applications of the function are related to the non-function value. Using modus ponens implies that not only the function, but also its argument is derived from the original value. This is why the two symmetric cases of the relation universally quantify over all coercions that derive an argument of the appropriate input type.

New Function Case. The one-but-last case, which relates two functions, is the most complicated one. It states that two functions are related iff they satisfy three conditions. These three conditions concern the two different ways in which the functions can be applied to an argument.

The second and third condition are a new way compared to NeColus and analogous to function/non-function cases; these are the cases where one of the two functions is applied to an argument through S-MP. They expose that $\langle \lambda x : \text{Nat}. \lambda y : \text{Bool}. y, 1 \rangle$ can be coerced to $\lambda y : \text{Bool}. y$ and thus has distinguishable overlap with, for instance, $\lambda y : \text{Bool}. \text{true}$.

The first condition corresponds to the NeColus condition for function types and captures the main design principle that related functions take related inputs to related outputs. However, as a consequence of modus ponens subtyping, the formulation is much more elaborate. First, we need to consider the context indices. An explicit function application demarcates the point where the implicit subtyping derivation ends and, therefore, the previous context is abandoned. One might thus expect the following much simpler formulation of the condition, where the result of the function application becomes its own new context:

$$\forall (v, v') \in \mathcal{V}^{(v:\tau_1;v':\tau_3)} \llbracket \tau_1; \tau_3 \rrbracket, (v_1 v, v_2 v') \in \mathcal{E}^{(v_1 v:\tau_2;v_2 v':\tau_4)} \llbracket \tau_2; \tau_4 \rrbracket$$

Sadly, this formulation does not properly account for functions that – unlike v_1 and v_2 – can be derived from the context without them being structural components. Consider the composition of multiple subcomponents by means of the S-DISTARR rule. For instance, that rule can derive from the context $\langle \lambda x : \text{Bool}. 1, \lambda x : \text{Bool}. \text{true} \rangle$ the function $\lambda x : \text{Bool}. \langle 1, \text{true} \rangle$ which is not a syntactic component of the initial type. In NeColus, we do not have to separately account for these cases, because the components of the function body never interact and can thus be considered separately. For that reason, the logical relation of NeColus does not explicitly have to consider S-DISTARR. However, in λ_i^{MP} matters are different because of S-MP. When the context is $\langle \lambda x : \text{Bool}. \lambda y : \text{Bool}. 1, \lambda x : \text{Bool}. \text{true} \rangle$, then using S-DISTARR we can derive the function $\lambda x : \text{Bool}. \langle \lambda y : \text{Bool}. 1, \text{true} \rangle$. When this function is explicitly applied to a value, we get a new original value $\langle \lambda y : \text{Bool}. 1, \text{true} \rangle$ whose two components can interact through S-MP. Thus, just checking $\lambda x : \text{Bool}. \lambda y : \text{Bool}. 1$ on its own is insufficient. To reckon with all ways in which the functions v_1 and v_2 under consideration can be combined by means of S-DISTARR with other functions derived from the context, we augment the condition as shown in the following extended condition:

$$\begin{aligned} \forall (v, v') \in \mathcal{E}^{(v:\tau_1;v':\tau_3)} \llbracket \tau_1; \tau_3 \rrbracket, \forall c \vdash \tau'_1 \triangleright \tau_1 \rightarrow \tau_0, \forall c' \vdash \tau'_2 \triangleright \tau_3 \rightarrow \tau'_0, \\ (\langle v_1 v, c v'_1 v \rangle, \langle v_2 v', c' v'_2 v' \rangle) \in \mathcal{E}^{(\langle v_1 v, c v'_1 v \rangle:\tau_2 \times \tau_0; \langle v_2 v', c' v'_2 v' \rangle:\tau_4 \times \tau'_0)} \llbracket \tau_2 \times \tau_0; \tau_4 \times \tau'_0 \rrbracket \end{aligned}$$

Yet, this formulation breaks the well-foundedness of the recursive definition because $\tau_2 \times \tau_0$ and $\tau_4 \times \tau'_0$ are not necessarily smaller than $\tau_1 \rightarrow \tau_2$ and $\tau_3 \rightarrow \tau_4$, respectively. Fortunately, we can mention the additional components only in the context index to make them available for interaction through S-MP. This restores the well-foundedness of the definition.

$$\begin{aligned} \forall (v, v') \in \mathcal{E}^{(v:\tau_1;v':\tau_3)} \llbracket \tau_1; \tau_3 \rrbracket, \forall c \vdash \tau'_1 \triangleright \tau_1 \rightarrow \tau_0, \forall c' \vdash \tau'_2 \triangleright \tau_3 \rightarrow \tau'_0, \\ (v_1 v, v_2 v') \in \mathcal{E}^{(\langle v_1 v, c v'_1 v \rangle:\tau_2 \times \tau_0; \langle v_2 v', c' v'_2 v' \rangle:\tau_4 \times \tau'_0)} \llbracket \tau_2; \tau_4 \rrbracket \end{aligned}$$

There is one last twist. Rule S-DISTARR can still be used on two function components with different domains. In particular, we can make the domains agree by means of the contra-variant part of the S-ARR rule. For instance, we can derive $\lambda x : \text{Nat} \times \text{Bool}. \langle \lambda y : \text{Bool}. 1, \text{true} \rangle$ from the original value $\langle \lambda x : \text{Bool}. \lambda y : \text{Bool}. 1, \lambda x : \text{Nat} \times \text{Bool}. \text{true} \rangle$ by first strengthening the domain of the first component before distributing the product over the functions. This explains the remaining complication in the actual condition of Figure 11.

Top Cases. Finally, the last case summarizes all combinations of \top with itself and with Nat , where all values are trivially related.

Terms. Figure 11 also contains the definition of the logical relation for terms, whose form is fairly standard for strongly normalising languages. The one extension is that we also normalise the original-term indices and not just the terms in the relation.

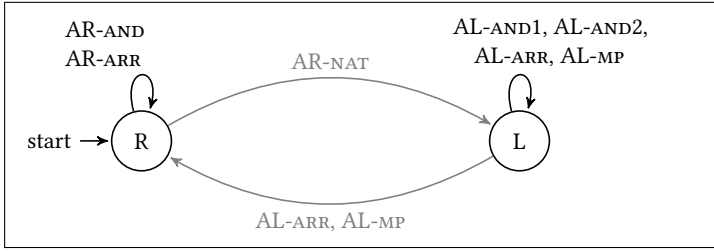


Fig. 12. Dependency graph of algorithmic subtyping

G TERMINATION OF ALGORITHMIC SUBTYPING

In this section, we prove that with the use of a loop detection mechanism, the subtyping algorithm presented in Figure 9 of Section 5 terminates for any input types, A and B .

The proof is partly mechanized in Coq and partly written in \LaTeX . The mechanized part is presented here in the form of lemmas, while the written part is contained in the text and the remarks of this section. A table at the end of this section links the lemmas presented here with their mechanized counterparts.

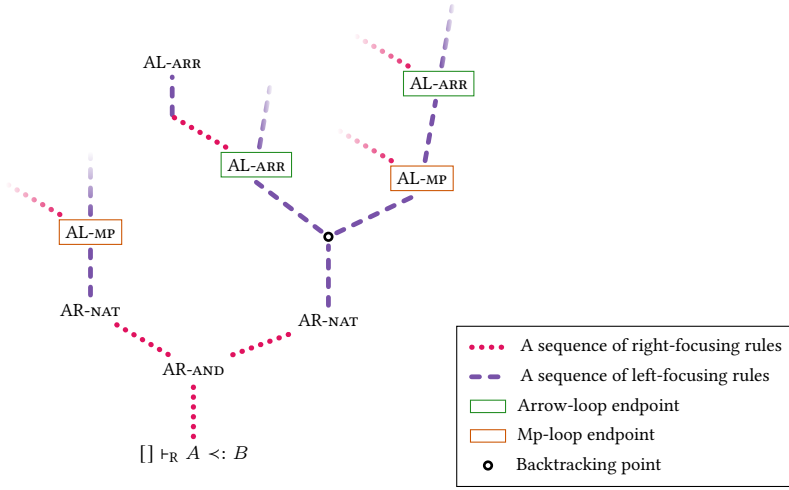
G.1 The proof

The subtyping algorithm uses a left and a right focusing mode. Figure 12 shows the dependency graph of the two modes. (L) and (R) stand for left- and right-focusing, respectively, and the gray edges of the graph are those that switch between modes. The algorithm always starts with right-focusing, which decomposes B in $\mathcal{L} \vdash_R A <: B$, and eventually enters the left-focusing phase which works by decomposing A . In left focusing mode, rules AL-ARR and AL-MP recursively continue in this mode, while at the same time triggering back the right-focusing mode.

In an effort to detect potential diverging behavior, we can start by examining a variation of the algorithm where the interdependencies of the focusing modes are removed, as shown in the black-colored part of Figure 12, thus isolating the two modes of the algorithm¹⁶. Since both modes follow the structure of their corresponding focal type, they are both terminating when considered in isolation. Adding back the left-focusing dependency in rule AR-NAT still retains termination, because left-focusing (which still ignores the right-focusing calls) terminates. To conclude, the only diverging loops that could possibly occur involve mutual recursion between both focusing modes, through the rules AL-ARR and AL-MP.

Figure 13 offers a visual aid to closely examine the shape of these loops. It shows part of an arbitrary computation tree of the algorithmic subtyping process, including backtracking branches. The algorithm starts by applying a number of right-focusing rules (represented by a **dotted pink path**) until it reaches an AR-NAT rule application. Now it continues in left-focusing mode (represented by a **dashed purple path**), sometimes igniting a new right-focusing branch through rules AL-ARR and AL-MP, as shown in the rightmost left-focusing path of Figure 13. In the computation tree, a path that has an initial part in right-focusing mode, its remainder in left-focusing mode and ends on a AL-ARR or AL-MP application, represents what we call a *single loop* of mutual recursion between the two focusing modes. Informally, it is a computation path of the form $\vdash_R \rightarrow \dots \rightarrow \vdash_R \rightarrow \vdash_L \rightarrow \dots \rightarrow \vdash_L \rightarrow \vdash_R$, where the last node is the right-focusing premise of an

¹⁶In this variation, the right-focusing premises in the left-focusing rules AL-MP and AL-ARR, and the left-focusing premise of the right-focusing rule AR-NAT are stripped away. This makes the algorithm incomplete w.r.t. the declarative version, but this is not a concern here.

Fig. 13. Visualization of the \leftrightarrow relation

AL-ARR or an AL-MP rule. If it ends with an AL-ARR rule application, we call it an *arrow-loop*, and otherwise an *mp-loop*. For instance, in Figure 13 all the paths that start at the root and end on an outlined AL-ARR or AL-MP rule are single loops.

It is now clearer that every right-focusing judgment to be resolved, signifies the start of a number (possibly zero) of sequences (possibly empty) of single loops. Therefore, a single loop, itself ending in a right-focusing judgment to be resolved, can be considered as the first loop in a number of sequences of single loops of the same kind (arrow- or mp-loops). Our termination proof examines the finiteness of the number of single loop sequences that the algorithm might go through, as well as the finiteness of the size of each of those sequences.

We will show that a) arrow-loops are not a source of non-termination, and b) a loop-detection mechanism that tracks previously tried mp-loops makes the algorithm terminating. For that, we define two relations, one for arrow-loops and one for mp-loops, each capturing the ways in which left-focusing triggers the right-focusing mode through an application of the AL-ARR or the AL-MP rule, respectively.

$$\begin{array}{c}
 \boxed{A \in_{\&} B} \quad \text{Intersection membership} \\
 \\
 \frac{}{A \in_{\&} A} \quad \frac{A \in_{\&} B_1}{A \in_{\&} B_1 \& B_2} \\
 \\
 \frac{A \in_{\&} B_2}{A \in_{\&} B_1 \& B_2}
 \end{array}$$

Fig. 14. Definition of intersection membership

Their definition uses an auxiliary intersection-membership relation, written as $A \in_{\&} B$ and defined in Figure 14. The formula $A \in_{\&} B$ expresses that B is the type A possibly intersected with more types.

$$B = (\dots \& (A \& \dots)) \& \dots$$

We say that type A is a component of type B .

The following results on intersection membership are useful for the rest of the proof. The definition of the left-arrow depth function $\|\cdot\|$, here referred to as our *norm*, is given in Section 5.2 of the paper.

LEMMA G.1 (INTERSECTION MEMBERSHIP DECREASES THE

NORM). *If $A \in_{\&} B$, then $\|A\| \leq \|B\|$.*

$(A <: B) \hookrightarrow (B' <: A')$		Single arrow-loops
$\frac{\text{LA-BASE} \quad B_1 \rightarrow B_2 \in_{\&} B}{(A_1 \rightarrow A_2 <: B) \hookrightarrow (B_1 <: A_1)}$	$\frac{\text{LA-MP} \quad (A_2 <: B) \hookrightarrow (B' <: A')}{(A_1 \rightarrow A_2 <: B) \hookrightarrow (B' <: A')}$	
$\frac{\text{LA-ARR} \quad B_1 \rightarrow B_2 \in_{\&} B \quad (A_2 <: B_2) \hookrightarrow (B' <: A')}{(A_1 \rightarrow A_2 <: B) \hookrightarrow (B' <: A')}$	$\frac{\text{LA-ANDL} \quad (A_1 <: B) \hookrightarrow (B' <: A')}{(A_1 \& A_2 <: B) \hookrightarrow (B' <: A')}$	
$\frac{\text{LA-ANDR} \quad (A_2 <: B) \hookrightarrow (B' <: A')}{(A_1 \& A_2 <: B) \hookrightarrow (B' <: A')}$		

Fig. 15. Single arrow-loops, formalized

REMARK 1. *Every type has a finite number of components. Formally, for a given type B , the set $\{A \mid A \in_{\&} B\}$ is finite. We also say that, given type B , the intersection membership relation produces a finite number of elements (that belong to that relation).*

G.2 Arrow-loops are not a source of non-termination

The arrow-loop relation, \hookrightarrow , between right-focusing subtyping judgments, shown in Figure 15, captures single cycles of mutual recursion through an AL-ARR rule application. Informally, $(A <: B) \hookrightarrow (B' <: A')$ expresses that resolving $[\] \vdash_R A <: B$, will trigger resolving $[\] \vdash_R B' <: A'$ through a single arrow loop.

There are many ways in which AL-ARR can be reached starting from the right-focusing mode. The most immediate case is when resolving $[\] \vdash_R A_1 \rightarrow A_2 <: B_1 \rightarrow B_2$, which triggers $[\] \vdash_R B_1 <: A_1$. We can generalize this to account for intersections of arrow types in the right hand side of the initial subtyping judgment. Thus, trying to resolve $[\] \vdash_R A_1 \rightarrow A_2 <: B$ will ignite a right-focusing call to resolve $[\] \vdash_R B_1 <: A_1$, for every arrow type component $B_1 \rightarrow B_2$ of B (LA-BASE). In addition, for every arrow type component $B_1 \rightarrow B_2 \in_{\&} B$, if starting from $[\] \vdash_R A_2 <: B_2$ triggers an arrow-loop on $[\] \vdash_R B' <: A'$, then that loop is reached also starting from $[\] \vdash_R A_1 \rightarrow A_2 <: B$. These are arrow-loops that occur under the left-focusing subderivation of an AL-ARR rule (LA-ARR). Similarly, rules LA-ANDL, LA-ANDR and LA-MP encode the arrow-loops that occur under an application of AL-AND1 or AL-AND2 or the left-focusing subderivation of AL-MP, respectively.

In Figure 13, \hookrightarrow relates the right-focusing judgment at the root of the tree with the right-focusing premise of every AL-ARR application that is **outlined with green borders**. The innermost AL-ARR application is not outlined because, starting from the root, it takes more than one (in particular, it takes two) single mutual recursion cycles to reach it. Such arrow-loops, nested in the right-focusing subderivation of a previously applied AL-ARR, are encoded in the transitive closure of \hookrightarrow .

REMARK 2. *Given types A and B , because of Remark 1, rule LA-BASE produces a finite number of elements (possibly zero) in the \hookrightarrow -relation. Since the rest of the rules in Figure 15 are structurally decreasing on argument A , it follows that given types A and B , the set $\{(B', A') \mid (A <: B) \hookrightarrow (B' <: A')\}$ is finite. Note that the left premise of rule LA-ARR contributes by a finite factor in the number of elements that this rule can produce.*

$B \overset{A}{\rightsquigarrow} B'$

Single mp-loops

$\frac{\text{LM-BASE}}{B \overset{A_1 \rightarrow A_2}{\rightsquigarrow} A_1}$	$\frac{\text{LM-MP}}{B \overset{A_2}{\rightsquigarrow} B'}$	$\frac{\text{LM-ARR}}{B \overset{A_1 \rightarrow A_2}{\rightsquigarrow} B_1 \rightarrow B'}$	$\frac{\text{LM-ANDL}}{B \overset{A_1 \& A_2}{\rightsquigarrow} B'}$
		$\frac{\text{LM-ANDR}}{B \overset{A_1 \& A_2}{\rightsquigarrow} B'}$	

Fig. 16. Single mp-loops, formalized

LEMMA G.2 (ARROW-LOOPS STRICTLY DECREASE THE NORM). *If $(A <: B) \rightsquigarrow (B' <: A')$, then $||[\] \vdash_R A <: B|| < ||[\] \vdash_R B' <: A'||$.*

It follows from the above remark and from Lemma G.2 that whenever the algorithm is in right-focusing mode, it re-enters that mode through AL-ARR a finite number of times and with a right-focusing judgment that is strictly smaller than the previous (w.r.t. our norm). This ensures that arrow-loops are not a source of non-termination.

G.3 Loop detection for termination of MP-loops

Figure 16 shows the definition of the mp-loop family of relations, which, similarly to the arrow-loop relation, encodes single cycles of mutual recursion through AL-MP rule applications. Informally, $B \overset{A}{\rightsquigarrow} B'$ expresses that resolving the judgment $[\] \vdash_R A <: B$, generates the call $[\] \vdash_R A <: B'$ through a single mp-loop.

A single right-focusing judgment may generate several mp-loops. The most immediate case, encoded by rule LM-BASE, is when resolving $[\] \vdash_R A_1 \rightarrow A_2 <: B$, which triggers the resolution of $[\] \vdash_R A_1 \rightarrow A_2 <: A_1$. Subsequent applications of the AL-MP rule that occur under the left-focusing branch of a previous AL-MP rule application are encoded by rule LM-MP. Similarly, rule LM-ARR encodes applications of the AL-MP rule under the left-focusing branch of a previously applied AL-ARR rule. Lastly, rules LM-ANDL and LM-ANDR encode mp-loops that occur under an application of AL-AND1 and AL-AND2, respectively.

Note that for a type A , $\overset{A}{\rightsquigarrow}$ is a set (not a multiset) and, thus, contains unique pairs of related right-focusing judgments. Put differently, starting resolution on $[\] \vdash_R A <: B$, the judgment does not distinguish between distinct applications of the AL-MP rule that generate the same right-focusing call $[\] \vdash_R A <: B'$. For example, starting with $[\] \vdash_R A_1 \rightarrow A_2 <: \text{Nat} \& \text{Bool} \rightarrow \text{Nat}$, the algorithm branches by rule AR-AND and tries to apply the AL-MP rule within both branches. Both applications generate the same right-focusing call, $[\] \vdash_R A_1 \rightarrow A_2 <: A_1$, but are encoded as one mp-loop through rule LM-BASE. This justifies the use of an arbitrary type B in the left component of the relation $\overset{A_1 \rightarrow A_2}{\rightsquigarrow}$, in the conclusion of the rules LM-BASE and LM-ARR of Figure 16.

REMARK 3. *The rules of the mp-loop relation family are structurally decreasing on the index type A . Therefore, taking into consideration Remark 1 to account for rule LM-ARR, it follows that for given types A and B , the set $\{B' \mid B \overset{A}{\rightsquigarrow} B'\}$ is finite.*

LEMMA G.3 (MP-LOOPS NON-STRICTLY DECREASE THE NORM). *If $B \overset{A}{\rightsquigarrow} B'$, then $||[\] \vdash_R A <: B|| \leq ||[\] \vdash_R A <: B'||$.*

Because a mp-loop signifies the start of a number of sequences of mp-loops to follow, Remark 3 essentially means that when the algorithm is in right-focusing mode it will generate a finite number of mp-loop sequences. However, Lemma G.3 does not guarantee that the right-focusing judgment triggered by a mp-loop is strictly smaller than the initial right-focusing judgment. As a result, although the *number* of mp-loop sequences is finite, not all sequences are necessarily finite. Some of them might be infinite, with each mp-loop triggering a right-focusing call on a judgment of equal norm.

In the following subsection, we show that the algorithm's search space, consisting of all possible recursive calls that the algorithm may trigger given an initial subtyping judgment to resolve, is finite. As a direct result, the algorithm has a finite number of possible mp-loops to follow. Then, by the pigeon hole principle and the algorithm's determinism, we can conclude that for an mp-loop sequence to be infinite, it must contain an infinite repetition of a finite number of finite mp-loop subsequences. Thus, a mechanism that detects repetitions of mp-loops is sufficient to salvage the algorithm from non-termination.

G.4 The search space is finite

To establish the finiteness of the algorithm's search space, we only need to consider the subspace generated by rule AL-MP, since it is the only rule that threatens the algorithm's termination. For that, we devise the *type generator* relation family, shown at the top of Figure 17, which uses two auxiliary definitions, $B \overset{A}{\underset{n}{\rightsquigarrow}} B'$ and $A \overset{A}{\underset{n}{\rightsquigarrow}} B$.

Although an understanding of the two auxiliary definitions is necessary to understand what the type generator family relates, for the purposes of this proof we only need to realize two properties it satisfies. First, the type generator relation family is an over-approximation of all possible mp-loop sequences, given an initial right-focusing subtyping judgment to resolve, and second, we need a finiteness property similar to that in Remarks 1, 2 and 3. The first property establishes that the type generator family contains an encoding of the algorithm's subspace that is generated by the AL-MP rule (or, equivalently, by mp-loops). Then, the finiteness property allows us to conclude that the algorithm's subspace generated by mp-loops is finite.

G.4.1 An over-approximation of mp-loop sequences. Before we proceed, we formalize the notion of a sequence of mp-loops. Naturally, sequences of mp-loops are modeled by the transitive closure of the mp-loops relation family, as shown in Figure 18. A member $B \overset{A}{\rightsquigarrow} B''$ of the $\overset{A}{\rightsquigarrow}$ -relation models a sequence of mp-loops that starts with a $[\] \vdash_R A <: B$ and ends with a $[\] \vdash_R A <: B''$ right-focusing judgment, after possibly going through intermediate mp-loops of the form $[\] \vdash_R A <: B'$.

Lemma G.4 justifies that the type generator family is an over-approximation of all possible mp-loop sequences.

LEMMA G.4. *If $B \overset{A}{\rightsquigarrow} B'$, then $B \overset{A}{\underset{n}{\rightsquigarrow}} B'$.*

G.4.2 A finiteness property for the over-approximation. First, we examine the look-up relation family, on which the definition of the type generator family depends.

REMARK 4. *Consider a member $A \overset{A}{\underset{n}{\rightsquigarrow}} A'$ of the look-up relation family. The inductive rules of this definition are decreasing on the lexicographic order of the pair (n, A) . Therefore, given a natural number n and a type A , the set $\{A' \mid A \overset{A}{\underset{n}{\rightsquigarrow}} A'\}$ is finite.*

Next, we examine the auxiliary type generator family. Consider an element $B \overset{A}{\underset{n}{\rightsquigarrow}} B'$. From Remark 4, it follows that given n, A and B , rule SBX-DONE produces a finite number of elements

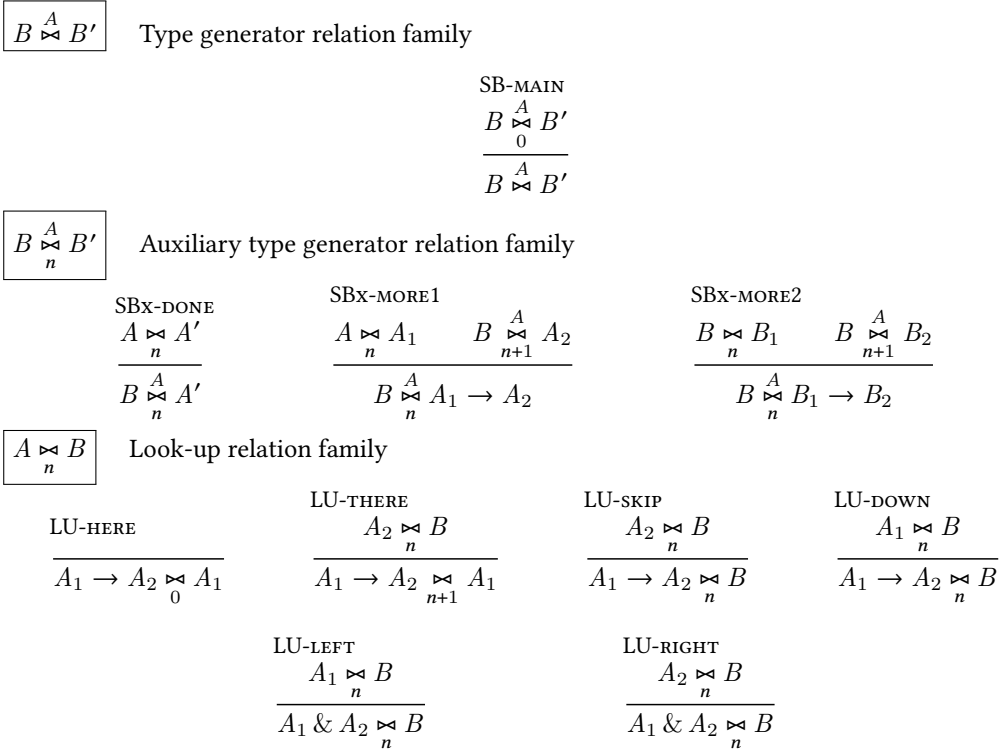


Fig. 17. An over-approximation of mp-loop sequences

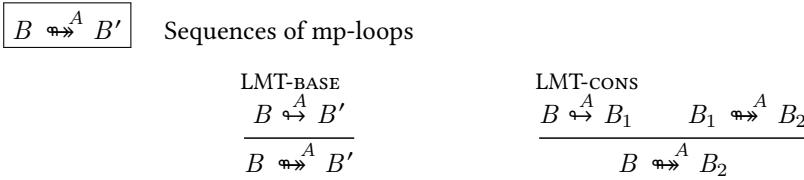


Fig. 18. Sequences of mp-loops, formalized

in the auxiliary type generator family. Similarly, given n , A and B , the left premise of the two remaining rules contributes by a finite factor on the number of elements that each of those rules can produce. In particular, the A_1 and B_1 types in the conclusion of rules SBX-MORE1 and SBX-MORE2, respectively, are produced by the look-up relation family (on the left premise of the corresponding rule) and thus they can only be finite in number. Yet, we are left with the possibility to choose arrow types of unconstrained size for the A_2 's and B_2 's of those two rules. At first sight, we can have countably infinite choices for the right hand side component of this family relation.

Fortunately, the following lemma limits the size of the arrow types that can be chosen. It expresses that given a natural number index n and types A and B , if n is greater than the range of both types, then there is no type B' such that $B \stackrel{A}{\bowtie}_n B'$. The range $\llbracket A \rrbracket$ of a type A , defined in Figure 19 is a function in the role of a norm for types.

$$\begin{aligned}
\llbracket \text{Nat} \rrbracket &= \llbracket \text{top} \rrbracket = 0 \\
\llbracket A_1 \rightarrow A_2 \rrbracket &= \max(\llbracket A_1 \rrbracket, \llbracket A_2 \rrbracket) + 1 \\
\llbracket A_1 \& A_2 \rrbracket &= \max(\llbracket A_1 \rrbracket, \llbracket A_2 \rrbracket)
\end{aligned}$$

Fig. 19. The range of a type

$$\frac{
\frac{
\frac{
A \multimap_n A_1 \quad
\frac{
\frac{
A \multimap_{n+1} A_2 \quad
\frac{
B \multimap_{n+k}^A B'_{k+1}
\vdots
}{B \multimap_{n+1}^A B'_2 \rightarrow \dots \rightarrow B'_{k+1}}
}{B \multimap_n^A B'_1 \rightarrow \dots \rightarrow B'_{k+1}}
}{B \multimap_n^A B'_1 \rightarrow \dots \rightarrow B'_{k+1}}
}{B \multimap_n^A B'_1 \rightarrow \dots \rightarrow B'_{k+1}}
}{B \multimap_n^A B'_1 \rightarrow \dots \rightarrow B'_{k+1}}
}{B \multimap_n^A B'_1 \rightarrow \dots \rightarrow B'_{k+1}}$$

Fig. 20. Example derivation

LEMMA G.5. *If $\max(\llbracket A \rrbracket, \llbracket B \rrbracket) < n$, then $B \multimap_n^A B'$ does not hold for any type B' .*

This lemma does not directly limit the choices for B' to a finite number, because it does not impose any constraint on the B' component of the auxiliary type generator family. Rather, it constrains n to be necessarily less than or equal to the range of at least one of the two given types.

However, specializing B' to be an arrow type, since we are interested in applications of rules SBX-MORE1 and SBX-MORE2, note that these two rules increase the natural number index of the auxiliary type generator relation in their right premise. As exemplified by the derivation in Figure 20, the size of the arrow type B' is limited so that if its derivation contains a branch with k applications of those two rules, then $n + k \leq \max(\llbracket A \rrbracket, \llbracket B \rrbracket)$.

With this, we can conclude that given n , A and B , rules SBX-MORE1 and SBX-MORE2 generate a finite number of elements in the auxiliary type generator relation. Altogether, given n , A and B , the set $\{B' \mid B \multimap_n^A B'\}$ is finite.

Finally, the finiteness result about the type generator family, or to say, about the over-approximation of the algorithm's possible mp-loop sequences, follows directly: given types A and B , the set $\{B' \mid B \multimap_n^A B'\}$ is finite. \square